

Institution: University of Dundee
Unit of Assessment: 30 History
a. Overview

History at Dundee consists of 17 staff. Over the last six years we have been fortunate to enjoy considerable stability in the staff complement. The departure of just three staff members (one a temporary lecturer), was compensated by the recruitment of five new staff. We also note the recent death of **Charles McKean**, who had retired from a full-time to a 0.2 fractional post. The research groups in the unit have developed since RAE2008. We retain strength in Early Modern, Scottish, and Twentieth-Century History. The emerging Global History group has developed from a pre-existing strength in American History. The early modernists are **Whatley, MacDonald, Storrs, Ward, Van Ittersum and Livesey**. The historians of Scotland are **Morton, Tindley, MacDonald, Kenefick**, and **Whatley**. The Global Historians include the Americanists **Ward** and **Colley**, and **Frame, Storrs, Livesey, Van Ittersum** and **Willson**, who work on aspects of Atlantic and European history, alongside **Graham** and **Bhattacharya**, who work on African and South Asian history. **Willson, Frame, Johansen, Regan, Kenefick and Bhattacharya** work on the twentieth century.

Since 2006 History has been part of the School of Humanities, itself part the College of Arts and Social Sciences. The History unit has benefitted from enhanced support for research in the school and from the support of the University which sees history as central to its transformation agenda.

b. Research Strategy

In its RAE2008 submission the History UoA set itself five objectives, which have been successfully pursued. The first was to develop its research clusters, strengthening its existing areas of strength in Scottish and early modern history and developing global history. Two new staff have been recruited in Scottish history, (**Morton** and **Tindley**), while **Livesey, Graham** and **Bhattacharya** boost our strength in research in global history. The recruits also contribute to the early-modern and twentieth-century groups.

The second was to maintain the research intensity of the unit and to broaden the research grant resource within the unit by increasing the rate of grant applications. Both of these have been achieved with 94% of all staff research active in the current reporting period. Research grant applications have increased significantly (detailed breakdown in section d), with all staff making bids, or involved in bids, for substantial sums. Income far exceeds what we achieved in RAE2008.

The third objective was for researchers in History to increase their collaborative and interdisciplinary activity, both with colleagues in other units in the University of Dundee and internationally. The Arts and Humanities Research Institute (AHRI) has transformed the way we approach collaboration by providing new kinds of funding and an enhanced research environment. The objective of increasing the frequency of conferences, funded research seminars and networks has been fully achieved. Conferences organised at Dundee include: 'Mapping Women and Gender', 2008, co-organized by **Willson**; and 'From Borderlands to Backcountry', 2009, organized by **Ward**. **Whatley** jointly organised the inter-disciplinary 'The Object of Poetry' conference in March 2011; **Johansen** and **Frame** organized three conferences as part of a project on 'Crime and Policing: Past and Present' with the Scottish Institute for Policing Research, 2010-2013. **Livesey** co-organized 'Finance and Communication in the Eighteenth Century' with Steve Pincus of Yale University, using funding from the Mellon Foundation, in June 2013. This event followed from two other conferences he organised: 'Moral Action in Historical Context' at the Centre for European Studies, Harvard University, 2009 and 'The First Modern Revolution' at the Centre for Early Modern Studies, Sussex University, 2010. **MacDonald** is conducting a pilot for a network on climate history from Scottish historical records. **Storrs** is a member of two networks on early

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modern Spain, based in Madrid and Pamplona. **Ward** belongs to both the British and European Early American Studies Associations, and **Kenefick** to the International Maritime Economic History Association. **Livesey** was part of the Oxford-based project on 'Rethinking Democracy' and the Yale project, sponsored by the Mellon Foundation, on Empire in the Eighteenth Century. **Johansen** is involved in a project at the Max Planck *Institut für Rechtsgeschichte* for the development of an English-French-German Dictionary for Comparative Criminal Justice Terminology, and in October 2012 was invited to participate in an international working group at Sorbonne on European Police Systems (SYSPOE). **Frame** is a member of the Study Group on the Russian Revolution.

The final objective related to the development of postgraduate activity, including developing Master's programmes to increase recruitment into doctoral study. Masters programmes have been radically revised during the assessment period. A new structure was created in 2011 that concentrated our pathways into British and Scottish history. Another pathway in Global Empires was added in 2012. These traditional forms of research-based Masters Degrees were supplemented by the introduction of a new Distance Learning Masters in Scottish History in 2011, led by **Ward** with significant input from **Patrick, Kenefick, MacDonald, Tindley** and **Morton**. We participate in the European Doctorate in Gender History, the core partner institutions being: University of Naples 'L'Orientale', University of Vienna, University of Madrid Autonoma and University of Rouen. The first PhD student was recruited under this scheme in 2011. The unit's funding for doctoral research has been transformed by the provision of fully-funded PhD scholarships by the School. This scheme has been in place for two years and will recruit another cohort in 2014.

History has been identified as an area for investment and growth in the University's ambitious transformation agenda. The School of Humanities is committed to individual, curiosity-led research and continues to support it through generous individual research allowances and maintenance of the University-funded research leave scheme. Research in history will be enhanced by the creation of two research centres in Scottish Culture and Global History in 2014. These centres will embed our international research collaborations, house a set of collective research projects, provide an excellent environment for our graduate students and recruit a cohort of post-doctoral researchers. The next reporting period will be crucial for history at Dundee as we build on our achievements and create the institutional context for the next level of development.

Over the next five years the existing research groups in the unit will be articulated with the new set of interdisciplinary research centres. **Morton's** appointment from Guelph, where he founded the Centre for Scottish Studies, will provide leadership for Scottish Studies within and beyond the unit. The appointments in Global History, allied to the existing strengths, will form the core of a Centre for Global History. Members of the unit will continue to collaborate with centres outside the unit. These include the **Scottish Institute for Policing Research**, led from Dundee which links the work of **Johansen, Frame, Ward** and **Colley**, and the **Geddes Institute for Urban Research** where **Tindley** makes a particular contribution.

The predominant mode of scholarship in Dundee has long been recognised to be collaborative and collegial, characterised by multi-volume projects such as the economic and social history of Dundee, led by **Whatley** and **Tomlinson**, and the *History of Everyday Life in Scotland* series, published by Edinburgh University Press, under the general editorship of **Whatley** and including a volume edited by **Morton**. Individual scholarship flourished within that collaborative context, and was recognised in the strong performance in previous research assessment exercises. In the coming years that collaborative environment will be reinforced by the new research centres and new resources will be provided to support self-funded work and the pursuit of sustainable research funds from sources including but not restricted to the Research Councils. The centres will establish a set of international partnerships, based on the research networks already established by members of staff.

A key objective of our research strategy in the next five years will be to create and support a cohort of post-doctoral researchers. Dundee has already been very supportive of early career researchers

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and we recognise that changing career patterns have to be met with new kinds of opportunities for emergent researchers. Our research centres will foster a new community that we expect to generate an exciting body of original work. We see this group as the key to amplifying the impact of our work and inspiring us to develop our research in new directions.

History at Dundee has a track-record of substantial public engagement, with especially strong contributions at the national level from **McKean** and **Whatley**. Through his published work and his academic leadership **McKean** had a direct impact on the evolution of the Scottish urban built environment. **Whatley's** impact outside the discipline has been particularly striking. His work, along with his public interventions, has been crucial in shaping contemporary debate on the nature and meaning of the 1707 Treaty of Union. The **5 Million Questions** project amplifies this work and has made Dundee one of the centres of historically-informed debate in the country. Work with national impact has also been done by **Willson**, who co-organises the Scottish Modern Italian Lecture Series. A 'Policing the Nation' exhibition on the history of policing in Scotland has been developed out of **Frame** and **Johansen's** involvement with the 'Crime and Policing: Past and Present' project. We intend to develop this kind of activity over the coming years.

History at Dundee has also been aware of its role in developing and sustaining informed historical debate in the region. This has been particularly important as Dundee has undergone a moment of intensified change. **Kenefick's** work on female labour activism and **Kenefick** and **Patrick's** work on **Great War Dundee** are particular examples of work that directly addresses local historical memory and has been disseminated in a variety of public media events. Several staff have been involved with the Abertay Historical Society, with **Kenefick** and **MacDonald** playing prominent roles. **Tindley** has pioneered this kind of work in Northern Scotland, which will be amplified by her work in digital humanities. She is developing a project on planned Northern Scottish towns in collaboration with Duncan of Jordanstone College of Art and Design. Our direct contribution to specific contexts has not been restricted to Scotland. **Van Ittersum** has spoken on the work of Grotius in public forums in the Netherlands and **Regan** has been at the centre of continuing debates on violence and revolutionary change in Ireland. Our public engagement has also involved the broadcast media, especially notable being *Rai Tre broadcasting* a mainstream television programme about **Willson's** book *Italiene: Biografie del Novecento*, in 2011.

The provision of doctoral studentships by the School forms the backbone of our support for doctoral research and we have also had consistent success in attracting Carnegie Trust fellowships. We have also enjoyed AHRC funding through project awards, particularly **Ward's** project on masculinity on the frontier, and collaborative doctoral awards. In future a mixture of block-grant-funding and university funding will make up to five fully-funded doctoral fellowships available to history graduates every year. The award of Block Grant Funding to the Scottish University Consortium and the new Scottish Graduate School offer much wider scope for development of our doctoral programme. Through participation in these new initiatives we expect to recruit the strongest possible doctoral candidates to be trained in our core areas of interest represented by our research centres.

c. People, including:
i. Staffing strategy and staff development

i. Research staff

In this reporting cycle we have recruited two Early Career Researchers, (**Bhattacharya** and **Graham**) who followed on from the two ECRs recruited in the previous cycle. The career development of these promising younger scholars is supported by a strong professional development infrastructure. Both were assigned a research mentor (as have all other academic staff below the level of Reader). The mentor offers guidance on research plans, reads drafts of publications where appropriate, and ensures that all junior staff are fully aware of opportunities for skills training. New lecturers and early career researchers are initially given lighter teaching and administrative loads to facilitate development of their research.

The unit has also made strategic appointments with both succession planning and capacity building in mind. **Morton** reinforces leadership in Scottish history and **Livesey** in Global History. The other three recent appointments, (**Tindley**, **Graham**, and **Bhattacharya**) support those two areas.

The University embraced the Concordat to support the Career Development of Researchers, and two people from the History UoA, a PhD student and a Post-doctoral research assistant, were involved in the formulation of the Dundee Concordat. In 2011 the University gained the European Commission's 'Excellence in Research' recognition because of the quality of the research environment.

The Research Officer for History sits on the School Research Committee, chaired by the Associate Dean for Research. For established staff there are annual research interviews with the Officer and another History professor. This covers the staff members' research plans, including grant applications, external scholarly activities and impact activities. Research in the Unit is subject to annual review by the School of Humanities, and in 2010 and 2012/13 our research was also subject to external review, focusing on preparation for REF2014.

History is strongly represented in the work of the AHRI and in its lecture and seminar series. In addition we have fortnightly research seminars with invited speakers, and have effectively encouraged participation in these by both research and taught postgraduate students. In 2010 we initiated a regular series of Staff Work-in-Progress Seminars (SWIPS) which focus on intensive, robust scrutiny of staff research projects at an early stage in their development, aiming in particular at maximizing the potential interest of the work to historians across the range of specialisms.

Equal opportunities: in all of our research strategy, we are mindful of equal opportunities issues and enthusiastically support the Athena Swan principles endorsed by the University. In addition to fulfilling legal requirements, we benefit from being a genuine team which works well together and so is able to support all its members, whatever their situation and requirements. We have worked particularly hard to increase the number of full-time female staff, to nearly 35%, and to support their career development in line with the university's gender equality plan. Female colleagues fill roles at every level of seniority in the group and comprise one professor, three senior lecturers and two lecturers.

c. ii. Research students

There are particular concentrations of postgraduates in architectural history, early American history, modern Scottish history and twentieth-century British history. The number of PhD students in the current cycle has exceeded the level in the cycle leading up to RAE2008.

Funding for postgraduates has become, as everywhere, more difficult in recent years. This inspired the School to create its own research studentship scheme. We have, however, had consistent success with applications for prestigious Carnegie Trust studentships (three successes in the current period.) We are also eligible to submit to the ESRC for Economic and Social History studentships as part of the Scottish Doctoral Training Centre. In 2011 **McKean** secured our first AHRC Collaborative Doctoral Award with National Museums Scotland. The new Block Grant arrangements will be transformative.

Postgraduates are supported according to the University's Code of Practice for Postgraduate supervision. A rigorous programme of six-monthly Thesis Monitoring Committees, consisting of History staff other than the student's supervisors, reviews student progress and supervisory standards, and reports on these.

Postgraduates are keenly encouraged to participate in relevant conferences and workshops from early in their research, and indeed to publish early on: for example in the case of Sarah Browne

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(PhD 2009), Browne, S. F. and Tomlinson, J. D., 2010. 'A Woman's Town: Dundee Women on the Public Stage'. *in*: Tomlinson, J. D. and Whatley, C. A., eds. *Jute no More*. Browne also won the 2008 Women's History Scotland essay prize for 'Finding Their Anger: Consciousness-Raising and the Women's Liberation Movement in Scotland'. Nicola Cowmeadow (PhD 2012) gave a paper at the North Atlantic Conference on British Studies, Montreal in 2012, has published an article in *Parliaments, Estates and Representation*, and has another forthcoming in the *Journal of Scottish Historical Studies*. Alison McCall has given various papers, including one on 'The movement of nurses into and out of Victorian Scotland' at the Scotland in Motion conference in Glasgow in 2011. Eddie Small, a current PhD student, was in 2013 jointly awarded the Stephen Fry award for public engagement with research for an anthology celebrating those who donate their bodies to science.

d. Income, infrastructure and facilities

Major research council grants which have accrued income in the current period are: **McKean** and **Whatley's** (with Harris from Oxford) c.£300k for 'Smaller Scottish Towns in the Era of Enlightenment' and **Ward's** c.£240k for 'From Peaceable Kingdom to Wild West: Violence and Disorder on the Early American Frontier' (both AHRC). **Bhattacharya** holds a post-doctoral award for 'A Coming of Age Story: Nationalism and a history of the Pharmaceutical History of India, 1905-1966', from the Wellcome Trust, 2011-2014, valued at £171k. In addition, the Alliance Trust provided £80k for Professor Charles Munn's research on the history of the Trust, overseen by **Whatley**. This grant led to the publication of the book *Investing for Generations - A History of Alliance Trust* (2013).

Other substantial grants include: **Willson**, (jointly with Dr Penelope Morris from Glasgow University), £33k for an AHRC networks project, entitled 'La Mamma: Interrogating a National Stereotype'; **MacDonald**, £22k from the Leverhulme Trust for a pilot project 'Climate change in early modern Scotland as revealed in church records'; **Frame** and **Johansen**, with a colleague from Geography, were awarded a £17k network grant by the Royal Society of Edinburgh for 'Crime and Policing in Scotland: Past and Present'. **Storrs** has held an AHRC Fellowship (value £25k) and **van Ittersum** a Leverhulme Research Fellowship (valued at £30k).

In addition to these grants, almost all staff have been recipients of small research grants from the Carnegie Trust and/or the British Academy. Other minor grants have come from the Huntington Library, Beinecke Library, Nuffield Trust, the Pasold Foundation and the Annandale Brewery.

The College of Arts and Social Sciences and the University's Research and Innovation Services provide high-quality focused support in the grant application process. The College has a dedicated officer for this task, as well as administrative support.

All History staff are expected to make at least one application for external research funding per year and have automatic access to a core research fund for research-related expenses such as travel, accommodation and major book purchases. The School of Humanities also offers some small, 'seed-corn' research grants, and recipients of these include **Kenefick**.

The School also operates a research leave scheme which, subject to stringent conditions (including a record of applying for external funding), allows staff across the School periodic research leave. This leave may be applied for on the basis of one semester after every three years of normal employment, and the History UoA has benefited enormously from every member of staff having at least one such leave period during the current cycle.

History at Dundee has a regular visiting speaker seminar series, attended by academic staff, postgraduate students and some guests from external institutions and organisations. Alongside this, the School of Humanities *Arts and Humanities Research Institute* has organized public lectures by distinguished historians, such as Sir Keith Thomas in 2011 and Richard Overy in 2013.

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The School of Humanities at Dundee has a dedicated member of library staff, who services History. In the recent period there have been substantial purchases of additional relevant texts through, for example, JSTOR, Lexis Nexis, and of research resources such as nineteenth-century newspapers and documentary databanks such as Alexander Street's 'Women and Social Movements International'. In 2011 we purchased further microfilms from the Archives of the Communist Party of the Soviet Union. A new research room in the library extension, primarily used by historians, became available in 2010.

Dundee University Archives, managed separately, is an affiliated organization, and constitutes an important asset for History. In addition to its own excellent resources (strong in photography, medical, textile and railway archives, and in regional history), Archives contributes archive usage, handling and theory to teaching at all levels, including postgraduate training.

Each member of staff has a separate office and networked PC facilities. For research students there is dedicated office space, provided with IT facilities.

e. Collaboration and contribution to the discipline or research base

Collaboration with researchers outside Dundee has been pursued in a number of ways. The collaborative research networks involving Dundee staff were noted above and those networks are supplemented by a set of other contributions. In 2011 Professor Gordon Stewart from Michigan State University was a Leverhulme Visiting Professor, giving public lectures on aspects of global and imperial history. During 2011-13 Dr Kathleen Middleton from Trinity College Dublin held a Post-Doctoral Fellowship from the Government of Ireland's Irish Research Council for the Humanities and Social Sciences jointly between Dublin and Dundee. Dr Kazuhiro Kato from Kagoshima University, Japan, has been a frequent visitor, consulting with **Whatley** on his work on eighteenth century Scottish coalmining. In July 2013 the Mellon Foundation funded Professor Steven Pincus from Yale University and six of his graduate students to participate in a collaborative workshop at Dundee.

Collaboration with researchers in other disciplines has also been enthusiastically pursued. This includes: **van Ittersum's** co-operation with a colleague from English at Dundee on early modern book history; **Whatley's** work with Pittock (Literature at Glasgow) and MacDonald (Fine Art, Dundee) on memorialising Burns; and **Willson's** work with Morris (Modern Languages, Glasgow) on representations of maternity in Italy. **Frame** and **Johansen** are co-organisers, with a colleague from Geography at Dundee, of the inter-disciplinary network 'Crime and policing in Scotland: Past and Present' in collaboration with the Scottish Institute for Policing Research. **Johansen** is conference organiser for the Criminal Justice Network for the European Social Science History Conference (Lisbon 2008, Ghent 2010, Glasgow 2012). **Frame** is the lead editor for two cultural history volumes being produced from the large international project on 'Russia's Great War and Revolution 1914-1922: Centennial Reappraisal'. **Johansen** (together with Prof. Paul Knepper from Sheffield) is co-editor of 'The Oxford Handbook of Crime and Criminal Justice History'. Within this REF period **Whatley** oversaw the publication of all four volumes of *A History of Everyday Life in Scotland*, a series of which he was joint general editor, and which has brought together the work of many scholars from Scotland, the rest of the UK and overseas. Also, in 2011, another collaborative project which **Whatley** oversaw, the three-volume history of Dundee, was brought to conclusion with the publication of **McKean, Harris and Whatley** (eds), *Dundee: Renaissance to Enlightenment* (2009), and Tomlinson and **Whatley** (eds), *Jute No More* (2011).

Colley's *Ain't Scared of your Jail: Arrest, Imprisonment and the Civil Rights Movement* (University of Florida Press, 2012) [entered in an earlier format to RAE 2008] was nominated for eight awards, including the Fredrick Jackson Turner Prize of the Organisation of American Historians and the Francis Parkman Prize of the American Historical Association. **Graham's** "Foreign Policy in Transition" won the Hodson Prize.

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Van Ittersum was a visiting fellow at Huygens ING in The Hague (September 2010 to June 2011); Erasmus Lecturer on the History and Civilization of the Netherlands and Flanders at Harvard University, Autumn 2011; and visiting scholar at the Harvard Department of History, Spring 2009 and Spring 2012. **Livesey** was Bankwitz Memorial Lecturer, Trinity College Hartford and Jackson Brothers Fellow, Beinicke Library, Yale, both 2008, and Andrew Mellon Fellow, Huntington Library, 2012, and a visiting professor at Harvard September 2007 to December 2008.

Invited lectures: **Regan** gave the Diarmuid Whelan Memorial Lecture, University College Cork, 2011; **Livesey** lectured on 'The French Revolution, Global History and Cultural History' Nanjing University, PRC, and 'Free Trade and Anti-Imperialism in Ireland', Peking University, PRC, both 2010. **Storrs** gave a keynote paper at the international conference *Tiempo de cambios: Guerra, diplomacia y politica internacional de la Monarquia Hispanica* (1648-1700), April 2011; **Frame** has given invited papers on Russian theatre at conferences in Cambridge and Helsinki; **Ward** was keynote speaker at the conference on 'Guns and Identity in the Nineteenth and Twentieth Centuries', University of Kent, 2011. **Whatley** has given numerous invited lectures on Scottish history to audiences, both public and scholarly, in Perth, Edinburgh, Glasgow and Oxford. **Willson** was an invited participant in plenary round tables at conferences in Naples and Oxford and gave an invited paper to the Fascist New Man conference in Rome in 2010. **Johansen** was invited fellow at the Centre for Excellence in Police Studies at Griffith University, Brisbane (July-Dec.2013).

Johansen is editor of the journal *Crime, History and Societies*. **Willson** is a member of the Advisory Board for *Gender and History*, and on the editorial board of *Modern Italy*; **McKean** was founder and editor of the 27 volumes of RIAS/Landmark Trust *Illustrated Architectural Guides to Scotland*, and *The Architectural History of Scotland* with Dr Deborah Howard. **Storrs** is on the advisory board of the Spanish journal *Millars Espa I Historia*; **van Ittersum** is associate editor of *Itinerario*; **Kenefick** is on the editorial board of *Scottish Labour History* and editor and council member for the Abertay Historical Society. **Frame** was on the national advisory board for *Europe-Asia Studies* (2006-2011).

In the reporting period **McKean** and **Whatley** were Fellows of the Royal Society of Edinburgh. **Willson** was Chair of the Association for the Study of Modern Italy 2006-2009, and has been Vice-convenor of Women's History Scotland 2004-2012. **McKean** was Chair of Edinburgh World Heritage until 2012 and is Honorary President of the Scottish Castles Association and The New Glasgow Society. **Whatley** is the current Convenor of the Saltire Society History Book of the Year Award. **MacDonald** is Treasurer and council member of the Scottish History Society, and **Ward** is Vice-Chair of the British Group of Early American Historians.