

Institution: The University of Edinburgh
Unit of Assessment: 30 History
<p>a. Overview</p> <p>History is one of three Subject Areas in the School of History, Classics and Archaeology (SHCA) and traces its intellectual roots over more than 400 years to the foundation of the University of Edinburgh in 1583. The 146 academic and administrative staff in SHCA provide teaching, supervision and support to some 1500 undergraduate and nearly 350 graduate students drawn from around 50 nations across the globe. Currently there are 76 historians in the School, including 6 ancient historians submitted to the Classics UoA in REF2014. They come from 16 countries in Europe, the Americas and Asia. All are research-active and their expertise spans more than two millennia and all continents of the world. 11 professors and three professorial fellows provide academic leadership in key areas.</p>
<p>b. Research Strategy</p> <p>We carefully considered the different RAE profiles reported in RAE2008 and the comments from the History Sub-Panel. Afterwards the plan of research as set out in 2008 was revised.</p> <p>It was agreed that maintaining but also adding to our distinctive chronological range and global coverage were priorities; but so too was the vital need to invest in new, emerging and exciting aspects of the discipline. Supporting radical improvement in the quality of published outputs across the UoA also had to be a primary strategic objective - see i) below - in order to prepare effectively for REF2014.</p> <p>Three other areas were also identified for urgent attention over the following five years in order to progress both innovation and excellence in History at Edinburgh: ii) internal and external research collaborations; iii) research centre development and iv) research infrastructure.</p> <p>i) Projects and Outputs</p> <p>We facilitated improvement in the quality and range of published work through:</p> <ul style="list-style-type: none"> - a global recruitment drive to appoint outstanding early career staff with strong research records coupled with impressive future potential. 33 new colleagues are now in post as a result of this initiative (see below, c.); - developing peer review and internal seminars/workshops to help refine the key outputs of colleagues as they evolved towards publication; six additional seminar series were funded in emerging fields for this purpose; - protecting almost 40 per cent of staff time for research within a revised School Workload Model; - encouraging application for external fellowships to maximise staff research/writing time, an approach which has the additional benefit of releasing funds to recruit early career scholars on temporary contracts, so providing invaluable teaching experience for the next generation of historians (see below, e.); - promoting more cross School and cross disciplinary publication projects especially via the two interdisciplinary research centres on Africa and South Asia (see below, iii). <p>Evidence that this new approach has already delivered results includes:</p> <ul style="list-style-type: none"> - 96 per cent of eligible staff merited submission to REF2014 after reaching the University's challenging quality thresholds for selection after successive internal and external reviews; - publication of six prize-winning volumes and 'Books of the Year' (selected inter alia by <i>The Spectator</i>, <i>New Statesman</i>, <i>Economist</i>, <i>Scotsman</i> and <i>Herald</i>) written or edited by Crang, Devine, Pentland, Quigley, Ruiz and Ugolini on, respectively, military history, diaspora, Scottish radicalism, the American civil war, the Spanish civil war and Italian immigration; - a significant number of path-breaking studies published, acclaimed by academic reviewers in key journals, for example on subjects as varied as Ming-Qing China, Astrology in the Renaissance, the Modern British State, Montaigne, Early Scottish History, Religion in the Punjab, the Republican Party in the USA, Death on the Berlin Wall and the Great Irish Famine – written respectively by McDowall, Azzolini, Joyce, Green, Fraser, Harding, Mason, Ahonen and Delaney;

- outputs by **Cameron, Chick, Davis, Dawson, Devine, Fitzpatrick, Hanna and Jackson** on modern political history, economic history, history of sexuality, early modern intellectual history, global migrations, historical biography and the history of political unions appeared on several book/article prize shortlists;
- **six major academic prizes** awarded to colleagues by the Royal Society of Edinburgh, Royal Historical Society and Cambridge University;
- editorships by invitation to **Bloxham, Devine, Jackson and Crang** of four large-scale and internationally collaborative enterprises on, respectively, three Oxford History Handbooks on Genocide, Modern Scottish History, Irish History and the prizewinning Military History of Scotland, all now published.
- two members of staff promoted to internal established Chairs, **Bloxham** (European history) and **Cameron** (Scottish history and palaeography); three to personal Chairs: **Bates** (south Asian history), **Chick** (modern economic history) and **Nenadic** (social history); and nine to Readerships with excellence in research as the key criterion: **Bowd** (early modern Italy), **Cameron** (as above), **Chick** (as above), **Delaney** (Irish and diaspora history), **Griffiths** (British social history), **L Jackson** (gender and social history), **Mason** (American history), **Pentland** (modern Scottish and British political history) and **Zahedieh** (early modern Atlantic history) – **14 promotions to these grades since 2008**, so confirming the recent international research impact of some of our mid-career staff.

ii) Collaborations

We also launched a systematic plan to develop more collaborative external modes of research. **These links now number 29, compared with 12 in 2008**, and range across the globe to institutions in Japan, New Zealand, Germany, Canada, India, Pakistan, China, USA, Russia, South Africa and the Middle East (see below, e.).

iii) Research Centres

We see these as critical to the delivery of future objectives in areas of collective research strength and also as key routes to innovation in interdisciplinary studies because:

- external funders often seek interdisciplinarity which can be facilitated by Centres spanning the humanities, social sciences and other disciplines;
- the University's Development Office advises that potential benefactors are attracted to support units with badged and distinctive public identities. This indeed proved to be a vital factor in the substantial private endowment of over £1m gifted to the Scottish Centre for Diaspora Studies in 2008;
- our experience suggests that critical mass achieved by some Centres can be a powerful attraction in the recruitment of high quality graduate students;
- they provide a 'home' environment for both academic staff and doctoral students, especially in a large School such as SHCA, by promoting 'vertical' scholarly cohesion and esprit de corps at all levels from senior professors to junior postgraduates.

Their funding awards, major research results and some key outputs since 2008 have included:

Centre of African Studies (Director, **Nugent**), interdisciplinary: 12 grants since 2008 to the value of £1.15m for 14 research projects from UK Department for International Development, European Science Foundation, ESRC, Leverhulme, Microsoft Foundation, EU and Scottish Government. Its innovative African Borderlands Research Network (est. 2007), led by **Nugent**, has recruited over 200 partners to date from across the globe. 13 PDFs and RAs have been supported by external funding since 2008. CAS recently celebrated the fortieth anniversary of its foundation.

Centre for South Asian Studies (Director, **Bates**), interdisciplinary: c. £2.1m grant income for six projects, including £360k from AHRC (2006 – 2008) for revisionist historical perspectives on 'Mutiny at the Margins' on the Indian Uprising of 1857 which involved an extensive international network of 42 scholars from India, Pakistan, UK and USA (PI: **Bates**). Seven edited collections on the research results are being published as a series between 2012 - 14. Five PDFs and one RA have been supported by external funding since 2008.

Scottish Centre for Diaspora Studies (Director, **Devine**): £2.36m income for research and PG

support since 2008 from ESRC, Scottish Government, Leverhulme, Royal Society of Edinburgh and including a private endowment of £1.1m, reckoned the largest single private donation to a UK History department in recent times. Five research monographs and three edited collections published since 2008 on Scots missionaries (**Breitenbach**, PDF), Scotland and Poland (**Hesse**, former PG), Scotland and Empire (**Mackenzie** and **Devine**), Scottish global migrations (**Devine**), Scottish return migration (**Varrichio**, former PG), Warrior Dreams: 'New Scots' of Europe (**Hesse**, former PG), Italians in Scotland – winner of RHS Gladstone Prize 2011 (**Ugolini**), Diaspora and Political Violence (**Whelehan**). Seven PDFs have been supported by external funding since 2008. Private endowment secured to support appointment of two doctoral students in perpetuity every cycle of three years.

Centre for the Study of Modern Conflict (Co-Directors, **Ahonen** and **Bloxham**): £231k grant income from Leverhulme (two awards to staff) and AHRC. Research projects completed on 'The Few: A Biographical Study of Battle of Britain Aircrew' (**Addison** and **Crang**); Listening to Britain (**Addison** and **Crang**); the Official History of SOE in Italy (**Stafford**). Three monographs and an edited book published since 2008 on Genocide (**Bloxham**, two), the Berlin Wall and Forced Population Movements during WW2 (each by **Ahonen**), together with two large scale co-edited volumes, the Oxford Handbook of Genocide Studies (2010) and A Military History of Scotland (EUP, 2012) - the latter winner of both the Saltire Society Prize for best Scottish History Book of 2012 and the Templer Medal of the Society for Army Historical Research 2013 - with **Bloxham** and **Crang** respectively as joint editors. (NB the development of CSMC was partly affected by the long illness and untimely death of its Director 2005-2010, **James F McMillan** FRSE.)

iv) Research Infrastructure

After several years of planning, 2010 marked a watershed in the provision of research facilities for History at Edinburgh. In that year, SHCA, formerly spread across five scattered sites, moved into the Old Medical School of the University, an eight storey Victorian building, refurbished at a cost of £14m. This superb facility has already opened up more opportunities for the development of collective research as well as interdisciplinary collaboration between History and the two other Subject Areas in the School (see **d.** below for more detail).

Research Plans 2014 to 2019

We aspire to:

- developing even more powerful interdisciplinary research synergies and large-scale team applications for external grant income in partnership with Archaeology and Classics via the recently established cross-School research development seminar and initiatives such as the new joint Classics-History lecture series on Slavery in the World from Antiquity to the Present;
- markedly extending our growing collaborative research reach across the world to include new connections as well as fresh developments with existing partners, especially in Atlantic, global, transnational, imperial and diaspora history. This will be achieved via the University's recently opened offices of the Americas, China and India and under the aegis of Edinburgh's new and well-resourced internationalisation strategy;
- enabling the large number of excellent younger scholars recruited over the past three years and in the future to achieve as much of their research capability as possible by the next REF exercise. Edinburgh advertised 200 new tenure-track Chancellor's Fellowships for early career scholars in 2012 - 2013, and will recruit a further 50 in 2014 as part of its mission to become the lead UK University for the development of these early career colleagues with outstanding potential. (**see c.ii below**) ;
- setting the four established research centres associated with History more challenging objectives in order at least to double the number of their high-quality doctoral students and overall research income over the next five years;
- appointing to a lectureship in public history (if resources permit) with a specific brief to develop collaborative work with heritage institutions and help lead our future impact / KE / outreach strategies alongside the Deputy Director of Research (Impact);
- in partnership with other relevant UoE Schools, launching large-scale funded transdisciplinary and interdisciplinary projects in order to realise the potential of the recently founded

Edinburgh Centre for Medieval and Renaissance Studies. The Centre already has c.70 associated researchers from across the College of Humanities and Social Science in history, art history, divinity, literature, languages, music, law and Islamic studies;

- consider the feasibility of launching two additional research centres in Irish History (because of the critical mass of Irish historians presently in the Unit – **see below c.ii**) and Financial History (via collaboration with the Edinburgh Business School).

Realising the Aspirations

Future projects will be monitored to the point of delivery and phased objectives set by the School Research Committee (SRC) and Research Ethics Committee, consisting of the Research Directors, Head of School and Heads of the three Subject Areas. The two Directors are historians (**Chick and Devine**), as is the Deputy Director (**L Jackson**), who takes responsibility for impact and knowledge exchange strategies and is also the current Chair of the College of Humanities and Social Science KE Committee.

The School Workload Model now reserves nearly 40 per cent of annual staff workload for research and also gives additional credit both for preparation of funding applications above £15k and the planning of impact-generating activity.

Building on this:

- all research and funding plans/results are reviewed annually via an audit and individual interviews by the Directors with each staff member;
- the information gathered will continue to shape SRC decisions on staff applications for internal leave;
- SRC supports both individual and collective research plans by providing funds for conference support, research and travel expenses, conference underwriting and support, and seed-corn/pump priming of new research projects. Between 2008 and 2012, £95k was awarded through this route to our historians. We plan to markedly increase the resource devoted to this purpose in future years, and now allocate c. £57k annually to these purposes;
- all draft applications for external grants are peer-reviewed by experienced staff with track records in winning major awards before being signed off by the Head of School.

c. People

i) Context

In addition to our chronological and territorial range, we also have concentrations of staff in African/Asian (8), American (9), British, general (16), continental European (14), economic and social (20), diasporas/ empires/global/transnational (17), Irish (12), Scottish (13), as well as ancient history via the six historians in the Classics Subject Area of SHCA.

This community of historians is augmented by 12 eminent and research-active Honorary Fellows (of whom two are Fellows of the British Academy and seven Fellows of the Royal Society of Edinburgh, Scotland's national academy); distinguished scholars from across the globe, partly under the aegis of the University's Institute for Advanced Studies in the Humanities (est.1969) - 44 historians have visited us, 2008 to 2012, from Africa, the Americas, Asia, Australasia and Europe for periods of a semester or an academic year; 14 historians from five other Schools in Edinburgh; and 95 registered doctoral students (2013) who contribute to our scholarly activities.

ii) Staff Recruitment

In all, 47 academic staff have been appointed to History since 2008, compared to 21 recruited over the longer cycle of RAE2008. They include 31 early career staff – (a) 10 to lectureships and (b) 21 to competitive postdoctoral fellowships – this last, almost five times the number reported in RAE2008. The goal has not simply been to build on traditional areas of strength but also encourage new clusters of interest. These now include diasporas/empires/global/transnational, presently with 17 researchers, intellectual history with six, and Irish history, with 12, the largest number of any university outside Ireland itself. Moreover, generating capacity in fresh fields of research for the Unit has resulted in seven appointments to lectureships in Latin American, Japanese, Contemporary British, Russian and Transnational History since 2008.

The retirement early in the REF census period of nine distinguished professorial staff who had been submitted to RAE 2008 posed a significant challenge for History at Edinburgh: **James F McMillan** FRSE (deceased), French history; **Michael Anderson** OBE FRSE FBA, demographic history; **Robert Anderson** FRSE, European history; **Roger Davidson**, social history; **TM Devine** OBE HonMRIA FRSE FBA, Scottish, imperial and diaspora history; **Judith Green**, medieval history; **Rhodri Jeffreys-Jones**, American history; **RJ Morris**, social history; **Jill Stephenson**, modern German history.

Our strategic response was to invest primarily in very high-quality early career staff, judged to have the potential to become research leaders of the future, while also maintaining the recruitment of excellent established scholars.

This policy resulted in:

- the appointment of six mainly early career lecturing staff in new areas designated for strategic development: Contemporary British, Latin American, Diaspora, Global/South Asian and Russian history [**Crowcroft**, **Cowling** (until Aug 2013), **Ugolini**, **Ahmed**, **Yechury** (until Aug 2013) and **Lauchlan** respectively];
- sustained application to ESRC, Leverhulme ECF, Marie Curie IEF and British Academy PDF schemes, resulting in the appointment of 12 Fellows funded from these sources since 2008;
- winning support from the Scottish Government/SFC and European Research Council for four ECFs held in the Scottish Centre for Diaspora Studies [SCDS] (**Haig**, **Kelly**, **Paul**, **Whelehan**) and a Harry Frank Guggenheim Fellowship USA 2012-14 (**McMahon**);
- a lectureship in modern German history, partly supported by DAAD (**Malinowski**);
- the appointment of seven scholars over two rounds from several hundred applicants across the world to prestigious and very competitive, tenure-track Chancellor's Fellowships. They receive additional initial support for library purchases, structured mentoring and career development support over a period of five years, during which they assume a gradually increasing teaching load until permanent contracts are confirmed for most at the end of the period (**Chabal**, **Levitin**, **Green**, **Hanna**, **McDowall**, **Raccagni** and **Raffe**). These gifted young scholars have developed and/or refreshed our expertise in contemporary French history; history of political thought; early modern history of science; history of visual culture and the built environment; medieval Chinese and global history; medieval European intellectual history; and early modern Scottish intellectual/religious history;
- the recruitment of two postdoctoral fellows supported from our own resources (**Dziennik** and **Poleg** [the latter until Aug 2013] in diaspora/imperial, religious and book history);
- appointments in 2011-12 to the Sir William Fraser Chair of Scottish History and Palaeography (**Cameron**), the Richard Pares Chair of European History (**Bloxham**) and re-engagement to a Personal Senior Research Chair of History (**Devine**);
- establishing a **Professorial Fellowship Scheme** in which world-ranking scholars provide support on a part-time 0.2 FTE basis via: international network grant applications; secondary doctoral supervision; staff mentoring; forging collaboration with relevant social science schools at Edinburgh; and graduate 'master-class' seminar development. We appointed three Fellows in History: **Fitzpatrick** [TCD Ireland], Ireland/ Diaspora; **Joyce** [emeritus, Manchester], Modern Cultural/Social; and **Morgan** [Johns Hopkins USA] Atlantic/Slavery. These colleagues visit us on a regular basis for periods of at least a week to a fortnight, twice per semester. Between 01.01.2013 and 30.06.2013, for instance, Professorial Fellows presented a total of nine seminars to staff, PGs and academic visitors; organised a new seminar series, 'Rethinking the State: cross-disciplinary approaches' (**Joyce**, involving Sociology and Anthropology); gave three PG master-classes; led a series of one-to-one discussions with doctoral students; as well as leading planning meetings for future external grant applications in their respective fields.

iii) Staff development

History operates a four-tier system which is framed by UoE's Code of Practice for the Management of Research Staff in response to the Concordat to Support the Career Development of Researchers.

It encompasses: i) support for new and early career staff and postdoctoral fellows; ii)

arrangements for internal leave; iii) support for equality and diversity; and iv) career progression.

- i) We support new colleagues via an induction programme for all, whatever their rank, either full-time or part-time, which is organised both within the School and at University level. This begins prior to appointment and extends to the end of the probationary period.

New appointees receive a reduction of one-third in teaching workload in their first year in post while postdoctoral researchers normally have no required teaching load, except for Leverhulme ECFs whose 0.2FTE recognises their contribution to teaching. They are supported by academic mentors (who are awarded credit within the School Workload Model for this responsibility). Discussions between mentor and mentee are confidential and entirely separate from formal systems of staff appraisal.

On first appointment, all colleagues also take part in the cross-School seminar group designed to foster a spirit of academic and social inclusion, to help facilitate cross-School research themes and enhance awareness of the research of other staff. The extensive programme of History seminars (**see d. below**) also provides invaluable opportunities for colleagues to hone their skills before critical and expert audiences in order to develop early drafts of papers towards the final goal of submission to important journals.

- ii) We operate a formal policy of internally funded leave. Colleagues who have engaged in five semesters of normal teaching, research and administrative duties are entitled to apply for study leave for the sixth semester. Part-time staff are considered on a pro rata basis. Those who have contributed to School management receive appropriate further research leave on completion of their terms of office. However, research leave is not awarded automatically or by right. Applications, with a research plan and other evidence, are considered by the SRC which then advises the Head of School whether leave should be granted and, if so, under what specified conditions. SRC always requests a report on the completed research if leave is awarded. This is assessed on receipt and also considered when a subsequent application is submitted. Periods where colleagues' services are wholly bought out by external funding or covered by unpaid leave do not affect calculation of their entitlements. **Between 2008 and 2012, an average of 27% of History staff were granted internal leave in each year, a figure which does not include those also awarded external competitive fellowships with associated funding for temporary staff replacements.**

- iii) We are fully committed to the implementation of the University's E&D Strategy and Action Plan via the work of our own E&D Coordinator and embedding E&D principles and responsibilities in all our committees, officer portfolios and processes of appointment. In addition, basing ourselves on the Athena SWAN scheme for the advancement and promotion of women (which has been used for some time in Edinburgh's STEMM subjects) we are working to consolidate and improve our existing commitment to gender equality within the framework of the **Equality Challenge Unit Bronze Award during 2014**. University HR Services have long provided on-line training in E&D and Equality Impact Assessment which is available to all staff and is a requirement for those in managerial posts, while data on staff appointments and promotions allow for tracking of the implementation of the University's E&D Action Plan in these processes. Since 2008, seven separate periods of maternity leave have been taken by staff. These colleagues also continue to accrue research leave, enabling them to maintain their profile as researchers on return to full-time or part-time duties.

- iv) The key procedures for promotion for all academic staff are mainly managed centrally at College and University level. These policies are communicated regularly and transparently, along with information on applications for increments and lump sum awards, to all colleagues. Independent advisers can be assigned to assist them in the preparation of applications for promotion or re-grading and to discuss any confidential issues which might arise. A senior member of the School is always a member of the College Promotion and Awards Committee and acts as an important point of contact, information, liaison and advice for all staff who wish to be considered for promotion or incremental increases. Career progression and development are also managed through separate annual appraisal

and research interviews as well as the selective allocation of internal funding to help pump-prime new research and event initiatives by colleagues. **From 1.08.2008 to 30.04.2013, 26 promotions to posts above the lecturer grade were secured by staff**, of whom 17 were male and nine female. 30 per cent of the current academic staff in History are female. We continue to strive for gender equality via the enhanced policies described in **iii)** above.

Research students

In autumn 2013 there were 158 graduate students in History of whom 41 per cent were from outside the UK. 95 are registered for the degree of PhD; 42% are non-UK citizens drawn from 14 nationalities.

We embarked on a transformation of graduate training in 2010 after an external review by Professor Colin Jones FBA, then President of the Royal Historical Society, followed by wide ranging internal discussions among colleagues. A **Graduate School Strategic Plan 2010-15** with four priorities was then agreed to:

- i) increase PGR recruitment, especially from overseas markets, consistent with maintaining excellence of provision and quality of applicants;
 - ii) introduce a cycle of consistent review, revision and innovation in doctoral training; this is now ongoing;
 - iii) increase internal funding of graduate studentships;
 - iv) enhance career development/transferable skills of graduate students to ensure that doctoral study in History becomes broad training for a range of employments.
- i) We have focused more on targeting our own outstanding undergraduates and visiting students with meetings, information and advice on PG study (in 2011/ 2012, for example, of the cohort of 191 final year History UGs, both single and joint honours, 29, or 16%, obtained first class degrees). This initiative has run in parallel with a series of overseas recruitment missions, especially to North America, undertaken by a senior Historian, in part via Edinburgh's new US office in New York.

We also completed a comprehensive redesign and revision of our Graduate School web pages, invested in video online presentation for use in international recruitment visits and appointed an additional Graduate Recruitment Officer.

- ii) The implementation of this priority is ongoing on a regular basis.
- iii) We committed to more generous provision of fees-only internal studentships at both masters and doctoral level with **15 awards** now in place within the School. We also established the **McMillan Scholarships Scheme** which rewards outstanding achievement for non-funded on-programme doctoral students by offering financial support in their 2nd and 3rd years.

History in addition secured **24 external awards** from AHRC (12), ESRC (2), Scottish Government/SFC (1), private endowment (4), the Wolfson Trust (1) and others (4) making a **grand total from internal and external sources of 39 funded doctorates**.

Overall, from 2007/2008 to 2012/13, **we attracted external funding of £1.52m for doctoral studentships** from AHRC, ESRC, the Carnegie Trust, the Scottish Government and other sources above viz. 2007/8 £220k; 2008/9 £208k; 2009/10 £195k; 2010/11 £190k; 2011/12 £329k; 2012/13 £386k.

- iv) **we have improved career development for doctoral students both by increasing financial support and by enhancing training procedures:**

- History has held three AHRC Collaborative Doctoral Awards since 2006 which have included career-development aspects via collaborative supervision and training with non-university institutions and associated project development;
- one such award, with National Museums Scotland (NMS), has recently been further developed as a two-year post-doctoral project for the student concerned with a grant from

the Royal Society of Edinburgh;

- formal MSc by research internships are now also established with NMS, Royal Commission on Ancient and Historical Monuments, the Royal College of Physicians' Archive and the Scottish Catholic Archives;
- a more systematic approach to Graduate Tutor Training has been introduced, with a series of three School workshops normally held in autumn, and the issuing of a School Graduate Tutor's Handbook. The handbook was prepared, in consultation with specialist staff from the University's Institute for Academic Development, by a doctoral student, experienced in tutoring, as part of her own career development;
- training in public engagement for graduate students and colleagues is now delivered by staff from the Edinburgh Beltane Beacon for Public Engagement;
- we have ensured that the provision of transferable skills is integral to doctoral training consistent with the RCUK Researcher Development Statement;
- a Graduate Student Fund is now in place to assist groups of two or more doctoral students to organise internal conferences and symposia; to support external conference attendance by PhD students; and to contribute to their research costs and career/skills training courses. Total spend is currently of the order of £16k p.a.

Monitoring the progress of doctoral students

Year 1: A comprehensive end-of-first year review with external input is held in August in order to determine whether studies should proceed and also to take stock of future research planning.

Year 2: a 'light touch' end-of-second year review is undertaken by the two supervisors with formal report to the PG committee if the student is making good progress. A more formal review, with an external assessor, replaces this if progress is judged to be less impressive.

Year 3: a first draft of the thesis is expected and scheduled for spring of this year.

Year 4: final thesis submission is anticipated within the first few months of this year.

Total PhD completions in History from 1.01.2008 to 31.07.2013 were 69 or approximately 14 per academic session (and one per each staff FTE), compared to nine during the RAE2008 cycle.

Measures of PG Student Esteem

The impact of our revised postgraduate strategy can in part be illustrated by the contributions and successes of those students registered since 2008, who have:

- **delivered 207 papers** at external seminars and conferences, of which 78 were presented in continental Europe, the Americas, Africa and Asia;
- **published four books and 112 papers in learned journals** (including periodicals of the quality of the Economic History Review, Past and Present, Intellectual History Review, Journal of British Studies, History, Urban History, Innes Review, Journal of Historical Geography, Scottish Historical Review, History of European Ideas) and in 14 edited collections;
- **obtained 38 academic posts in universities and similar research institutions in the UK, Europe and USA** – **UK**: Birkbeck; Edinburgh (6); Durham; Glasgow (2); Keele; Leeds; Newcastle; Northumbria; Oxford; Stirling; Warwick (2). **Europe**: Bergamo; Leiden; Tromsø; Padua; Volda; Zurich. **North America**: Appalachian State; Ave Maria; Baptist Theological Seminary; Colorado; Dalhousie; Delaware; Duquesne; Guelph; U of Mary, North Dakota; New Hampshire; New York; William and Mary; Winterthur Museum; Virginia; Yale;
- **been awarded 12 accolades** : RHS David Berry Prize for best article in Scottish history (in both 2011 and 2012); European Savings Bank Academic Award 2012 (third place); Robertson Medal of the Carnegie Trust for the Universities of Scotland for Doctoral Research 2010; History Scotland Magazine and RHS Essay Prize 2012; Scottish History Society PG Prize (both 2010 and 2012); National Library of Norway Silver Medal 2012; PhD Prix Louis Forest (Sorbonne) 2010; Council of International Association for Media and History Outstanding Article (2009); British Association for Local History, Best Published Article 2012, Proxime Accessit; British Association for Irish Studies PG Award 2013.

d. Infrastructure, facilities and income

The transformational development since 2008 has been the co-location of the entire School in 2010 to the entirely refurbished and award-winning West Wing of the Old Medical School, renamed the William Robertson Wing (WRW), after the great Edinburgh historian of the eighteenth century Enlightenment. This eight-storey building is one of the largest of any university world-wide exclusively devoted to the study of the human past from prehistoric times to the present. It was designed by the eminent Victorian architect, Sir Robert Rowand Anderson and constructed between 1876 and 1886. The WRW contains outstanding facilities, comprising high-tech lecture theatres, teaching and seminar rooms, extensive book collections in house, particularly in American, European and Scottish history, office space for all academic and administrative staff together with accommodation for postdoctoral fellows, honorary fellows and visiting scholars. Excellent provision for research students includes four PG research clusters (comprising well-equipped computer suites) next to two research rooms with appropriate libraries and a large social space in the joint staff/graduate student McMillan Room designed to promote full inclusion of our PGs within the School community.

Concentration of all activities in a single site is already providing multiple benefits including stimulus for more cross-disciplinary work with Archaeology and Classics; a pan SHCA Graduate School involving a new training programme for MSc students; and increased collaboration in doctoral supervision and joint research seminars and lecture series.

The 33 School support staff include a research administrator and part-time assistant, three Graduate School administrators, an eLearning and web development officer (distance learning), website copy editor, two computer support officers, research centres administrator, KE administrator, academic liaison librarian and communications/events officer.

Archival and Library Support

Both archival and library resources for historical research in Edinburgh are superb. The University Library is among the largest in the UK (in the country's top ten institutions by the measure of library staff FTE) with over 3.5million printed works, c. 22,000k unique serial titles, for the most part received as eJournals, and c. 0.5 million e-books across 21 sites; a digital library, permitting access to sources anywhere; and about 11,000 linear metres of historic material acquired by gift, deposit or purchase from the sixteenth century. It is host to the UK's JISC EDINA Data Centre, which provides a range of services and data in support of research and advanced expertise in GIS technology; and holds extensive recorded and text collections on Scottish ethnography and tradition. In addition, owing to copyright status between 1710 and 1837, the Library contains comprehensive holdings of 18th and early 19th century publications.

The National Library of Scotland (NLS), a five-minute walk from the Robertson Wing, is one of five copyright libraries of deposit in Britain and dates back to 1680. It is home to a vast archive of rare manuscripts and printed works with holdings totalling 13 million volumes. The National Archives of Scotland (NAS), Office of the Registrar General for Scotland, National Museums Scotland (NMS), containing the former library of the Society of Antiquaries of Scotland, Scottish Catholic Archives (until 2013), Historic Scotland / Royal Commission on Ancient and Historical Monuments, the National Gallery of Scotland and the National Portrait Gallery are all located in Edinburgh.

Together these institutions provide a world-class resource which vitally underpins all Edinburgh History's research endeavours.

Seminar and Conference Provision

The UoA is committed to resourcing increasing and generous provision for seminars, workshops, symposia and the like, involving external speakers from home and abroad, which are critical both to the development of our research culture and also to effective networking/ collaboration with leading scholars and institutions across the globe.

Thus, for example, in session 2012-13, after some years of continued growth since 2008, 24 seminar, workshop, lecture and reading group series ran in History, 12 of them established over the REF cycle on the themes and issues of: the Americas (three, including the Edinburgh-Virginia video seminar); Emeritus Lecture Series; Modern Ireland; Economic and

Social; Scottish; Renaissance and Early Modern (ECMRS); Medicine; Gender; World Wars (CSMC); Diaspora Histories (SCDS); Africa (CAS); First Millennium Studies; Conflict (CSMC); Interdisciplinary Study of Religion and Culture; South Asia (CSAS); Management Historical Research; Doctoral Research Studies; Slavery in World History from Antiquity to the Present Lecture Series (SCDS and Classics Subject Area); Interdisciplinary Series on Race in Latin America; Rethinking the State; History Research in Progress; Edinburgh Chinese History.

41 symposia and conferences, both national and international, have also been organised since 2008, internally and externally (involving direct planning input from over two thirds of our academic staff), on such varied themes as: Modernity, History and Social Theory; War in the Caribbean; British Psychological Society History Section - Annual Conferences (3); Conflict in History; Scottish Philosophy in Transnational Contexts; Return Migration; Scotland and Poland since 1500; Gendering Imperialism; Space and Social Relations in Historical Perspective; Fighting for Identity; Women and Warfare; Ancients and Moderns in the Enlightenment; Britain and the World since the 17th century; Rome across Time and Space; Associational Cultures in the Empire; Landscape, City and Space in Modern China 1500-2000; British Association for South Asian Studies Annual Conference 2008; Gender and Difference in the Middle Ages; The Hispanic Habsburg Dynasty in Context; World Cliometrics Congress 2008; Women and Wellbeing; Beyond the Island: Transnational Approaches to History; Uses and Abuses of Heritage; Infertility in History, Science and Culture.

Income

The drive to maximise external grant income in support of research is at the heart of our strategy. We therefore strive to develop a supportive culture in order to deliver funding through: intensive advice and peer-review of all draft applications by staff who have themselves won significant awards; promoting a culture of regular and continued application (in cases of initial lack of success) as an expected and normal part of staff responsibilities; including credit for preparation of funding applications in the School workload model; focusing on grant application planning both at individual annual research and also appraisal interviews with staff.

In addition to the financial details of research expenditure given in REF4b, the data below provides an indication of the incidence and rate of activity and success in applying for grants.

	2008/9	2009/10	2010/11	2011/12	2012/13	Total
Applications (£)	2.51m	723k	2.86m	494k	2.3m	10.9m
Awards (£)	732k	609k	564k	650k	1.1m	3.25m
Applications (number)	50	29	46	38	55	218
Awards (number)	23	8	9	16	22	78
Rate of success (%)	46	28	20	42	40	36

The fall in the number of applications in 2009/10 reflected a reduction in staff FTE through retirement and ill-health, as well as some disruption occasioned by the move into our new School building in 2010. The fruits of subsequent efforts to improve the incentives and internal peer-review procedures for making grant applications is apparent in the trend increase in applications from 2010/11 and in the lagged numerical and percentage rate of success since then. **In terms of the REF4b measure of research expenditure, compared with the RAE2008 figure of £2,062,676, the REF figure of £3,047,358 represents an increase of 47.7%.** It should be noted that this result was achieved over a shorter timeframe than that for RAE 2008. The cycle then was 6.5 years; the REF five years.

Moreover:

- between 2008 and 31.07.2013 **96 per cent of staff on open ended contracts in History** made 233 applications and achieved an overall success rate of **36 per cent**;
- as is familiar in the History discipline, grants to individuals to complete the research for and 'writing up' of monographs were at least as valuable as those for higher value 'team-based' projects of longer-term gestation. Over the REF cycle we have therefore focused equally on the winning of individual external fellowships. **49 competitive personal fellowships** have been awarded from other HE institutions as well as funding agencies in the UK, Europe, the Americas, Africa and Asia;

- on REF2014 census day, 41 per cent of those submitted will be aged under 40 compared to 22 per cent of the cohort at RAE2008. Despite this significant demographic change, and with it the retirement of several colleagues long successful in winning large grants (**see above, c.ii**), levels of research income and expenditure have nonetheless substantially increased through the efforts of our early and mid career researchers.

e. Collaboration and contribution to the discipline or research base

Collaboration

Research collaborations both within the UK and abroad have more than doubled in number since 2008 to the current figure of 29.

An illustrative sample is provided here as an indication of their thematic diversity and geographical range:

- Japanese Psychoanalysis with Keio, Japan. Funded by Japan Promotion for the History of Science (**Harding**);
- Migration, Ethnicity and Economic Growth with Waikato NZ. Funded by British Academy and Association of Commonwealth Univs (**Greasley**);
- Ancients and Moderns in the Enlightenment with Queen's, Belfast, two Scottish universities, Ludwig-Maximilians Univ (Munich), Oxford and Victoria Univ (Canada). Funded by RSE (**Ahnert**);
- Modernity, History and Social Theory International Network with UC Berkeley, Williams College MA, Manchester, Warwick, King's College, London. Funded by ESRC (**Delaney**);
- Mutiny at the Margins: the Indian Uprising 1857 supported by the British Academy, Royal Asiatic Society, RSE and involving an international network of c.40 scholars from India, Pakistan, UK and USA. Funded mainly by AHRC (**Bates**);
- History and Inequality Research Network with Cambridge and Manchester. Funded by AHRC (**Joyce**);
- Mapping Edinburgh's Social History 1000-2000 with Edinburgh City Council, NLS, Royal Commission on the Ancient and Historical Monuments of Scotland, Edinburgh World Heritage Trust 2013-16. Funded by AHRC (**Rodger**).

Contribution to the Discipline by Staff

26 colleagues were members of committees/academic assessors/peer

reviewers/advisors/trustees for: AHRC (8), British Academy, ESRC (2), Irish Research Council for Humanities and Social Science (2), Research Awards Advisory Committee of the Leverhulme Trust (only historian member, 2003-10), Scottish Review of Books, McNeil Center for Early American Studies, U of Pennsylvania, Südasien-Institut Heidelberg, Columba Trust for Scotland, UCD Centre for War Studies, Scholarship Committee of Association of Commonwealth Universities, Advisory Board of European Association of History Educators (EUROCLIO), Center for War and Society, U of Tennessee, Imperial War Museum, Philip Leverhulme Prize Committee for History (Leverhulme Trust), REF 2014 Sub-Panel in History.

27 colleagues were office-bearers in: European African Studies Association (President); British Scholar Society USA, publishers of Britain and the World (Chair of publications board); British Society for the History of Philosophy; Economic History Society Council (2); Council of UK African Studies; Gender and Medieval Studies Group; Economic & Social History Society of Scotland(2); Leonardo da Vinci Society; Renaissance Society of America; Oral History Society; Scottish Catholic History Society; Scottish History Society (Convener); Social History Society (UK); Scottish Records Association; Society for the Social History of Medicine (Chair); Southern History Association, USA; Royal Africa Society; British Association for American Studies; Scottish Local History Forum; British Psychological Society, History and Philosophy Section (Secretary); ESRC Centre for Research on Socio-Cultural Change (Research Convener); Council of the Omohundro Institute of Early American History and Culture, College of William and Mary; and London Society for Medieval Studies (Secretary).

Colleagues were members of the editorial boards (including as editors and/or review editors) of 44 learned journals and book series compared to 27 in RAE2008: Intellectual History Review; Grotiana; Africa Spectrum; Politics, Religion and Ideology; Renaissance

Quarterly; Early Medieval Europe; H-Nationalism; Totalitarian Movements and Political Religions; Journal of Colonialism and Colonial History; History of Psychiatry; Scottish Archives; Afriche e Orienti; Zones of Violence OUP monograph series; Revista Africana Studia; Historical Journal; Journal of Irish and Scottish Studies; Crime, Histoire et Sociétés; History; Cultural and Social History; Women's History Review; Africa; Journal of the Early Republic; Journal of Scottish Historical Studies; Journal of Borderlands Studies; Economic History Review; Britain and the World; Slavery and Abolition; Early American Book Series, Johns Hopkins University Press; South Asian Diaspora; Azania; Rethinking History; History Compass; Patterns of Prejudice; Journal of Genocide Studies; Zeitschrift für Genozidforschung; Holocaust Studies; Routledge Edinburgh South Asian Studies Series; Routledge New Horizons in South Asian Studies Series; Journal of Modern African Studies; Palgrave African Borderlands Series; Journal of Scottish Philosophy; Immigrants and Minorities; Reappraisals in Irish History; Social History; and Urban History.

Esteem

Staff gained a total of 49 competitive and funded external research fellowships (compared to 36 during the previous cycle of research assessment) from: the British Academy; Leverhulme; ESRC; Princeton; Harvard; Yale; Colonial Williamsburg Foundation; Irish Research Council for the Humanities and Social Sciences; Swedish Collegium for Advanced Study (Uppsala); Huntington Library USA; Ecole des Hautes Etudes en Sciences Sociales, Paris; Economic History Society; German Historical Institute Washington DC; National Maritime Museum; U of Michigan William Clements Library; U of Tokyo; Oxford (Harmsworth Professor 2011-12); Warwick U; John Carter Brown Library, Brown U; Scottish Government; Japan Foundation; European University Institute (Fernand Braudel Senior Fellowship); Victoria U of Wellington NZ; Freiburg Institute for Advanced Studies; US Military Academy West Point; Moore Institute Visiting Fellowship NUI Galway; Parnell Fellowship in Irish Studies Cambridge; Research School of Humanities ANU Canberra; Guggenheim Foundation USA; and Mellon Corporation USA.

48 accolades, prizes and book award short-listings were achieved by 32 members of staff, or nearly 50 per cent of the UoA submitted to REF2014 (compared with 26 per cent submitted in RAE2008).

Among the most prestigious were: Conference of Latin American Historians' Prize for best article in the field (2010), **Cowling**; Royal Society of Edinburgh (RSE) Inaugural Sir Walter Scott Senior Medal for Excellence in the Humanities and Creative Arts (2012) and related congratulations from the Scottish Parliament (motion S4M-03805, 2012), **Devine**; Inaugural Balzan-Skinner lectureship prize in intellectual history (2010), **Dawson**; Hume Brown Senior Prize for best first book on Scottish history (2010), **Pentland**; Templer Medal of the Society for Army Historical Research (2013), **Crang**; RHS David Berry Prize for best article on Scottish history (2008), **Pentland**; Ruth Michaelis-Jena Ratcliff prize for an important contribution by an individual to the study of folklore and folklife (2009), **Ugolini**; RSE/Beltane Senior Prize for Excellence in Public Engagement across all disciplines (2012), **Devine**; British Association for American Studies Book Prize (2012), **Quigley**; Prince Consort/Thirlwall Prize and Seeley Dissertation Prize (2010), **Chabal**; Saltire Society History Book of the Year (2012), **Crang**; RHS Gladstone History Book Prize (2012), **Ugolini**; RHS Alexander Prize, Proxime Accessit, (2010), **Hanna**; Election to the inaugural RSE Young Academy (2012) and RSE/Thomas Reid Medal: Humanities and Creative Arts Early Career Prize (2013), **Pentland**; Hislibris Prize 2012 (Spain) for History and Historical Fiction (first non-Spanish winner of this national award), **Ruiz**; AHRC/BBC Radio 3 'New Generation Thinker' (2013), **Harding**; RHS David Berry Prize for best article on Scottish history (2011), **Raffe**.

Staff have given 393 external invited lectures/seminars since 2008, of which 220 were presented in the UK, 93 in Europe, 44 in the Americas, 28 in Africa/Asia, eight in Australasia.