

**Institution: University of Dundee**

**Unit of Assessment: UOA21 Politics and International Studies**

**a. Overview**

The Politics and International Relations (IR) programme is part of the School of Humanities which is situated in the College of Arts and Social Sciences (CASS). Over the last 16 months our programme has undergone significant changes to its staffing. These changes have significantly enhanced our research outputs and research income and they have provided us with new opportunities to re-shape our research profile and to sharpen our research focus on European and global politics. With our successful bid for a Jean Monnet Chair we are now on track to be designated as a Jean Monnet Centre of Excellence in 2014 and we are currently in the process of creating a Centre for Freedom, Security, and Justice which we plan to be up and running in September 2014.

**b. Research strategy**

In order to consolidate and further strengthen our research profile, three key areas were identified as priority areas for action at the beginning of this REF cycle, namely; (1) enhancing our research management, (2) increasing the number of our taught postgraduate and research students, and (3) strengthening our research identity.

*(1) Enhancing the Research Management*

In order to foster and enhance the research culture in Politics it was decided to reform the team's research support structures. A committee of senior colleagues was created to collectively cover the research areas of the department – this initially comprised: **Dobson** (International Politics and Political Theory), **MacQueen** (International Politics and Security) and **Ross** (Governance and European Politics). With the retirement of Dobson and MacQueen the membership of this committee now consists of **Ross, Dunphy** and **Kaunert**. The committee's primary task has been one of encouraging and mentoring colleagues in all aspects of research. Senior members of staff also advise and mentor those less experienced. Application forms for research grants are also read by members of the research committee and sent to reviewers within the College, and to externals. Finally, staff members have also been encouraged to apply for research sabbaticals. During this REF cycle **Dunphy, Elvins** and **Ross** were all successful in their application to the School for research leave of one semester, as were former members of the team **Bourne** and **Dobson**.

*(2) Strengthening our Intake of Postgraduate and Research Students*

A new MLitt programme in International Politics and Security was successfully created in 2009 and has attracted 14-16 students per annum. Importantly, it has also acted as a feeder for the recruitment of research students whose numbers have steadily increased over this cycle.

*(3) Strengthening our Research Identity*

It was initially decided at the start of this REF cycle to enhance the department's research identity by forming two broad research clusters on the basis of the staff members' research expertise at the time: (1) Governance and European Politics (**Bourne, Dunphy, Ross** and **Wright**) and (2) International Relations and Security (**Dobson, Elvins, and MacQueen**). Each of these clusters was supported by funds generated by the two journals hosted by the Politics team - *Perspectives on European Politics and Society* (Routledge) edited by **Ross**, and the *Journal of Transatlantic Studies* (Routledge) which was edited by **Dobson**, who worked in the department until summer 2011.

Following changes in our staff and research expertise, it was decided to focus on one cluster, namely Europe and the World where we now have strong expertise (**Carvalho, Dunphy, Elvins, Graham, Kaunert, Leonard, and Ross**). In order to further enhance research activities in this area, Kaunert has recently (July 2013) been successful in his bid for a Jean Monnet Chair in EU Justice and Home Affairs. This award (€45,000) reflects the research prestige of the Politics programme which is recognised by the Jean Monnet foundation as a world leader in European Security studies. On the basis of this success we are currently building up a post-doctoral school in

security studies. We currently have one post-doctoral researcher (**Carrapico**), who is mentored by Kaunert. She has been granted a prestigious two-year Newton International Fellowship (2012-2014) by the British Academy and the Royal Society. We also have several pending applications for Newton and Marie Curie Fellowships to start in 2014.

#### *The Arts and Humanities Research Institute*

Research in Politics and IR benefits from a centralized funding arrangement that enables activities on an individual, discipline and School basis. A key mechanism for supporting research in the School is the Arts and Humanities Research Institute (AHRI), which serves as a forum for research activities across the School's principal research led disciplines: English Literature and Film Studies, History, Philosophy and Politics. The AHRI provides a unified framework for the School disciplines to present their research to University colleagues and to the wider public. It also offers a catalyst for researchers across the School to exchange, enhance and pool research experience, and provides funding to support inter-disciplinary initiatives, staff research activities, discipline research seminars, public lecture events, School conferences and guest seminars. The AHRI operates an annual budget of about £200,000, which goes towards supporting School based public lecture and seminar series, discipline based seminars, staff and postgraduate led research events, conferences and public engagement work. Academic staff benefit from a personal research allowance of £800 per annum to support conference presentations and other research related activities.

The Politics and IR team plays an active role in the AHRI inter-disciplinary Lecture and Seminar series, which brings world-leading scholars and practitioners (e.g., the British Ambassador to Afghanistan) to Dundee fortnightly. In addition, we have our Politics research seminar series which brings together staff and postgraduate students. Moreover, the profile of the Politics team has been raised by the regular participation of our staff in national and international conferences, which is funded from the AHRI budget. This enables each member of the Politics and IR programme to attend and present a paper to, at least, one conference per year. The team have also taken advantage of funds provided by AHRI to support research initiatives such as the organisation of academic conferences (see details below).

#### **c. People, including:**

##### **i. Staffing strategy and staff development**

Over the last 16 months Politics has undergone significant changes in staffing. Of the 7 current full time members 3 joined in September/October 2012 (**Graham** – a joint appointment with History, **Kaunert**, and **Leonard**) and one (**Carvalho**) in January 2013. **Torrance** who holds a part-time post was appointed in November 2012. There are currently only three full time members who took part in the last RAE in 2008 (**Dunphy**, **Elvins** and **Ross**). Three individuals took early retirement, namely **Dobson** in August 2011 and **Wright** and **MacQueen** in January 2012. **Bourne** left for another post in Denmark in January 2012. Other colleagues who left earlier in the cycle were **Smith** in 2009 and **Baxter** in 2010. Dobson is currently an Associate member of the Politics and IR Programme.

Our staffing strategy has been to build on our strengths in European Global politics by recruiting two experts in European and international security (**Kaunert** and **Leonard**). In addition to conducting several research projects funded by the European Commission, they lead our successful MLitt programme in International Politics and Security and contribute to our International Relations undergraduate programme, which has robust recruitment. In addition, we have decided to widen our existing teaching and research expertise with the recruitment of an expert in African Politics (**Graham**) and an expert in human rights and Indian Politics (**Carvalho**) both of whom research these countries links with Europe.

Staff development is strongly encouraged and supported at University level by a dedicated Organisational and Professional Development team. The University of Dundee is dedicated to supporting the development of its research staff and postgraduate researchers through its investment in their on-going personal, professional and career development and has been recognised by the European Commission, through its "HR Excellence in Research" award, as an environment that supports excellence and increases focus and impact. Researcher Development is

an integral part of the institution's Organisational and Professional Development unit, and encompasses the entire research lifecycle with an aim to develop a complementary range of skills for researchers such that they are well-qualified, employable and experienced. The provision includes training that ranges from short workshops on writing to research funding, enterprise, presentation skills, coaching, and career advice. Support is also provided for academics on how to work effectively with teams, setting research objectives and managing research projects. All training and further opportunities can be accessed via an online portal ([www.dundee.ac.uk/opd](http://www.dundee.ac.uk/opd)).

At the discipline level, each new member of staff is assigned a mentor from amongst the more senior members of staff, who can provide continuous advice and support during their probation period. These meetings cover teaching, research and administration. In addition, Politics dedicates specific funds to staff professional development, notably in the area of research skills. For example, **Bourne** was given funds to attend the University of Essex Summer School on Quantitative Methods. Every permanent member of staff also has a yearly 'objective setting review' meeting with a senior member of staff, whilst the performance of senior members is reviewed by the Dean of the School. The universities of Dundee and St. Andrews also run a joint early career mentoring scheme which offers further excellent training modules. All members of the Politics and International Relations REF planning committee (Dunphy, Kaunert and Ross) have completed the University's mandatory Equality and Diversity training courses: Diversity in the Workplace and Disability and all members of staff are fully committed to upholding the principles of Athena Swan.

#### ii. Research students

During this REF period five research degrees have been awarded, and five others will complete their doctorates in the next cycle.

All postgraduate research students are obliged to attend special workshops that are run by the Organisational and Professional Development team for the postgraduate community. Workshops cover a very wide range of issues, including presenting at conferences, networking, applying for research funding, academic writing, preparing for job interviews, and the PhD journey.

In addition, all supervisors are strongly encouraged to attend the Organisational and Professional Development workshops relating to research supervision. All new members of staff are required to attend a mandatory session on research supervision at Dundee in the first months of their employment.

All research students attend twice yearly thesis monitoring committee meetings where their progress is monitored by members of staff (not their supervisors). At these sessions the students are provided with expert advice on all aspects of research and professional development. In their final year research students are provided with specialist advice on where best to publish their research and how to prepare for job interviews.

Our research students are also strongly encouraged to participate in our research seminars, and also the lectures organised by the AHRI. The School of Humanities also provides funds for research students to attend academic conferences.

#### d. Income, infrastructure and facilities

##### *Income*

In line with University and CASS research strategy, the Politics and IR programme has a strong research bidding culture. Plans to generate research income are regularly discussed by Politics and IR staff members at the Programme Boards, as well as on an individual basis during the twice yearly staff interviews which are conducted by the Research Convener. Early career researchers regularly discuss strategies for research bidding with their mentor and are advised by more experienced colleagues.

As a result of these policies, research income generated in this research cycle totals £478,711.35 of which £122,228 was spent. Major awards include a £62,230 ESRC grant (**Elvins**, 2008-10); a £78,432 Marie Curie Career Integration Grant (**Leonard**, awarded July 2013); an £80,640 Marie Curie Career Integration Grant (**Kaunert**, 2012-16); a £96,750-Newton International Fellowship of

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the British Academy and the Royal Society (**Kaunert**, awarded July 2013). In addition, **Elvins** (December 2009) received £26,978.25 (25% share of a grant of £107,913 from the Association of Chief Police Officers Scotland. ACPOS). Other grants include a £39,000 Jean Monnet Chair in EU JHA (**Kaunert**, awarded July 2013); an £18,000 Jean Monnet PhD summer school in EU JHA (**Leonard** and **Kaunert**, awarded July 2013); a £21,500 Jean Monnet Conference on the AFSJ (**Leonard** and **Kaunert**, awarded July 2013); a £28,600 British Academy International Partnership Grant with Egypt/North Africa (**Kaunert** and **Leonard**, awarded July 2013).

Smaller awards include: a Carnegie Grant of £2,200 for field work in Spain (**Bourne**, September 2010); a Carnegie grant of £1455 for field work in Brussels (**Dunphy**, April 2010). **Dobson** received a grant of £2,334 from the Canadian High Commission (March 2010) and £1,260 (Franklin and Eleanor Roosevelt Institute, March 2009); **Elvins** was awarded £8,509 from the Independent Custody Visiting Association (50% of the total award (April-June 2010). **Mendel** (Geography) was funded to attend the 2012 Scottish Crucible and won £3932 funding from their project fund for a project on human trafficking and online networks. He also worked as a researcher on the Data Wars project (which had £262,000 ESRC funding). **Liao** (Centre for Energy, Mineral and Petroleum Law and Policy) was awarded an ESRC network grant of £3,101.10 (2008-9); **Ross** was awarded three Carnegie travel grants for research trips to Moscow: £1,240 in May 2009, £1,290 in May 2011, and £1260 in May 2013.

*Infrastructure and facilities*

Research at the University of Dundee is very efficiently supported by the Research and Innovation Services, which provide expertise in research policy and strategy, research bidding and development, contracts and audit compliance. The Research Grants and Contracts team provides advice and guidance on how to develop research projects and secure funding, through one-to-one support and training sessions. All Politics members of staff are housed in the Tower Building and have their own office, computer and printer. Secretarial and administrative support is provided by the School of Humanities which is situated in the same building as the CASS Office. This allows members of staff to have easy access to these facilities and to consult with the Dean of the School and the Convenors of Research at both the School and College levels.

**e. Collaboration or contribution to the discipline or research base***Collaborations*

**Carvalho** (early career researcher) has collaborated with researchers on topics related to human rights, democratisation and conflict. She is a member of the following networks: the State of Democracy Expert network created by the policy think tank International IDEA based in Sweden; the Geneva Foundation, a human rights advocacy group, and the Lokniti network of academics in India and South Asia. Currently she is collaborating with Dr. Kristi Winters (GESIS, Cologne, Germany) on a research project which is based on her pioneering Qualitative Election Study of Britain project. A paper from this project is currently under review at *The Qualitative Report*. Two case studies about the research design of the project are also under review at the *Sage Cases in Methodology* series.

**Dunphy** has collaborated with Luke March, University of Edinburgh (see his impact case study). Together they have written several conference papers, published an article, 'Seven Year Itch? The European Left Party: Struggling to change the European Union' (*Perspectives on European Politics and Society*, Vol. 14, No 4, December, 2013) and submitted a co-authored book, *The European Left Party: a Case Study in Transnational Party Building*, which is due to be published by Manchester University Press in 2014. They have also organised two academic conferences (see below). Dunphy helped to found a network of scholars studying parties of the Radical Left. In addition, he has networked closely with the state-funded (non-academic) think-tanks, the Rosa Luxemburg Stiftung (Germany) and the Left Forum (Finland), presenting the findings of his research at public seminars organised by them in Berlin and Helsinki.

**Elvins** is a member of the Scottish Institute of Policing Research (University of Dundee) where he participated with Professor Nick Fife and Janine Hunter on the research project - *An Analysis of Independent Custody Visiting in Scotland*. The project was funded with a grant of £17,018 from Independent Custody Volunteer Scotland. He has also carried out research with academic



colleagues from the University of Dundee School of Nursing and Midwifery (see his impact case study for more details about these projects).

**Kaunert** collaborated with Dr. Kamil Zwolski (University of Southampton) on the monograph *The EU as a Global Security Actor: A Comprehensive Analysis beyond CFSP and JHA* (Palgrave, March 2013). Kaunert and Leonard have also worked extensively with Alex MacKenzie (University of Liverpool) on EU counter-terrorism policy. They have published joint articles in *European Security* (2012), *Democracy and Security* (2013) and *Intelligence and National Security* (2014 forthcoming), as well as a joint research monograph on EU counter-terrorism (2014 forthcoming: under contract with Edward Elgar), and they are currently working together on an EU funded project on European Security. They are part of a network of scholars from Austria, Denmark, Germany, the Netherlands and Spain), who are working on security issues - so far they have contributed chapters to a number of edited books. In addition, Kaunert has collaborated with Oldrich Bures (Metropolitan University Prague, Czech Republic) and Javier Argomaniz (University of St Andrews) on a research project aiming to assess the first ten years of EU counter-terrorism policy, which led to the organisation of a workshop and the editing of a special issue of *Intelligence and National Security* (forthcoming 2014).

**Kaunert** has also worked extensively with **Leonard**. Together they have published numerous articles, in addition to editing books and special issues of journals. **Leonard** has also collaborated with Thierry Balzacq (Namur University and the University of Louvain, Belgium). Together they have produced a number of co-authored articles and book chapters. Their most recent publication is 'Information-sharing and the EU Counter-terrorism Policy' in the book edited by Kaunert and Leonard *European Security, Terrorism, and Intelligence* (Palgrave Macmillan, 2013). These collaborations were built on longstanding research relationships, established by Kaunert and Leonard before they came to Dundee. Thus for example, **Kaunert** held a Marie Curie Intra-European Research Fellowship at the European University Institute in Florence, Italy; total funding: €165,739. He also held a Visiting Research Fellowship/Professorship at the Collegio Carlo Alberto in Turin (Italy), which was supported by a €9,000 grant awarded by the Collegio Carlo Alberto and he was also a Visiting Research Fellow at the Brussels Office of the Stiftung Wissenschaft und Politik (the German Institute for International and Security Affairs). Likewise, **Leonard** held a Marie Curie Intra-European Fellowship at Sciences Po, Paris (France) (total funding: €165,962) and she was also a Visiting Research Fellow at the Brussels Office of the Stiftung Wissenschaft und Politik.

**Liao** (Centre for Energy, Petroleum and Mineral Law and Policy) has worked with a wide network of scholars. During this cycle she presented 30 academic papers at prestigious international conferences held in the UK, Belgium, Australia, China, Japan, Russia and the USA. In 2008 (April-December) in collaboration with Dr. Elizabeth Kirk (School of Law, University of Dundee) she organised four workshops in London, Edinburgh and Dundee which were sponsored by the AHRC Research Networks and Workshops' scheme.

**Mendel** (Geography - early career scholar) has collaborated with Alexandra Hall (University of York) on an article and book chapter on 'data wars'; he also worked with Louise Amoore (Durham) and Marieke de Goede and Mara Wesseling (Universiteit van Amsterdam) on the broader Data Wars project. He has also collaborated with Hauke Riesch (Brunel University) on a published article and a book chapter on science blogging. He is currently working with Riesch and Sue Black (University of Dundee) on a funding application to investigate the role of women in forensic anthropology, and with Janine Hunter (University of Dundee), Tamsin Saxton (University of Northumbria) and Kiril Sharapov (Glasgow Caledonian University and Central European University) to develop a project on human trafficking and online networks.

**Ross** is a foreign partner of the *Laboratory for Regional Political Research*, Higher School of Economics, Moscow (Russia). In this capacity he has worked extensively with the head of the Laboratory, Professor Rostislav Turovsky. They published the article 'The Representation of Political and Economic Elites in the Russian Federation Council' in *Demokratizatsiya: The Journal of Post-Soviet Democratization*, Vol. 21, No. 1, Winter 2013. Ross's article 'Krizis Rossiiskovo Federalizm' was published in a special issue of the journal *Politicheskaya Nauka*, No 4, 2011

which was edited by Turovsky. Ross has also worked on research projects with Professor Vladimir Gel'man, European University, St. Petersburg. Together they published the edited book *The Politics of Sub-National Authoritarianism in Russia* (Ashgate, 2010). He has also collaborated with Professor Petr Panov, University of Perm, Russian Federation. Their co-authored article 'Sub-National Elections in Russia: Variations in United Russia's Domination of Regional Assemblies' was published in *Europe-Asian Studies*, Vol. 65, No 3, 2013 and their article 'Patterns of Electoral Contestation in Russian Regional Assemblies: Between Competitive and Hegemonic Authoritarianism' which was published in *Demokratizatsiya: The Journal of Post-Soviet Democratization*, Vol. 21, No 3, July 2013. In addition, all three of the above Russian scholars have published chapters in Ross's edited book *Federalism and Local Politics in Russia* (Routledge, 2009). They also presented papers at Ross's 2009 and 2013 international conferences on Russian politics (see below).

#### *Contribution to the Discipline*

##### Fellowships

**Carvalho** was awarded a two week-fellowship (9-22 June 2013) on MultiRights, an interdisciplinary research project based in the Centre for Human Rights, School of Law, University of Oslo. This was one of ten places awarded through a competitive application process to researchers studying international human rights institutions through a multidisciplinary lens. **Liao** was awarded an Honorary Professorship at the China Institute of Boundary Studies, Wuhan University, China (December 2008) and from October-December 2011 she was a visiting Professor at the International University of Japan, Niigata, Japan. **Ross** was a Senior Associate Member of St. Antony's College Oxford for the period of his research leave, from September until December 2011.

##### Editorship of Journals

**Dobson** is Editor of *The Journal of Transatlantic Studies* (Routledge, Taylor & Francis) and a member of the Editorial Board of *Diplomatic History and International History Review*. **Dunphy** is the Book Reviews Editor of the journal, *Perspectives on European Politics and Society* (Routledge, Taylor and Francis); **Kaunert** and **Leonard** were appointed Editors of the *Journal of Contemporary European Research* (JCER). **Leonard** has been a member of the Editorial Board of the journal *European Security* (Routledge, Taylor & Francis) since January 2012. **MacQueen** is a member of the editorial board, *Journal of International Peacekeeping* (Brill). **Ross** has been the Editor of *Perspectives on European Politics and Society* (Routledge, Taylor and Francis) since 2000. He is also a member of the Editorial Board of *Vestnik of Perm University: Political Science*.

##### Leadership Posts in Academic Associations

**Dobson** is Chair of the Transatlantic Studies Association. **Kaunert** is Director, with John Occhipinti, of the special section on the Area of Freedom, Security and Justice (AFSJ) of the European Union Studies Association (EUSA), which he established with Occhipinti on 1 October 2012. He was also elected a member of the Executive Committee of the University Association for Contemporary European Studies.

##### Conference Organisation

**Bourne** and **Wright** organised a Symposium on Devolution in Spain and Scotland: Past, Present and Future which was held in Dundee in July 2011. **Dobson** has organised two conferences of the Transatlantic Studies Association, which took place at the University of Dundee: 7-10 July 2008 and 11-14 July 2011. **Dunphy** (with Luke March, University of Edinburgh) organised a day-long workshop on Radical Left Parties and European Integration at the 60<sup>th</sup> anniversary conference of the Political Studies Association in Edinburgh on 29 March, 2010. And they also organised a further one day conference on the Radical Left at the University of Edinburgh in April 2013. **Kaunert** has organised with John D. Occhipinti (Canisius College, Buffalo, United States) 7 research panels and one roundtable on the Area of Freedom, Security and Justice at the EUSA 13th Biennial International Conference, Baltimore, USA (09-11 May 2013). **Mendel** has led the organisation of two workshops on human trafficking and online networks at Dundee University, drawing a wide range of attendees from several academic disciplines, police and local government. **Ross** organised two international conferences at the University of Dundee: 1) 'The Politics of Sub-

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National Authoritarianism in Russia', June 2009. There were participants from Canada, France, Germany, Norway, Russia, USA and the UK. The conference was funded by the Foreign and Commonwealth Office (FCO) (£6,052) and the School of Humanities, University of Dundee (£1,500); 2) 'State and Civil Society: National and Regional Dimensions', 28-30 June 2013. This conference was also Funded by the Foreign and Commonwealth Office (£6,997.90) and the School of Humanities, University of Dundee (£2,000).

**Editorship of Special Issues of Academic Journals**

Whilst at Dundee **Kaunert** has edited the following special issues that will be published in 2013 and 2014, 1) 'Rethinking the Middle East? Values, Interests, and Security Concerns in Western Policies towards Iraq and the Wider Region?' (with S. Leonard, L. Berger and G. Johnson), *Cambridge Review of International Affairs*; (2) 'Agency Governance in the Area of Freedom, Security and Justice' (with S. Leonard and J. Occhipinti), *Perspectives on European Politics and Society*; (3) 'Migration in the European Union's Area of Freedom, Security and Justice after the Lisbon Treaty and the Stockholm Programme' (with P.J. Cardwell and S. Leonard), *International Migration*; (4) 'Supranational Governance and the Area of Freedom, Security and Justice' (with S. Leonard and J. Occhipinti), *Cambridge Review of International Affairs*; and (5) 'Ten Years of EU Counterterrorism Policy and Intelligence Cooperation: A Critical Assessment' (with J. Argomaniz and O. Bures), *Intelligence and National Security*.

Whilst at Dundee **Leonard** has edited the following special issues which will be published in 2013 and 2014, 1) 'Rethinking the Middle East? Values, Interests, and Security Concerns in Western Policies towards Iraq and the Wider Region?' (with C. Kaunert, L. Berger and G. Johnson), *Cambridge Review of International Affairs*; (2) 'Agency Governance in the Area of Freedom, Security and Justice' (with C. Kaunert and J. Occhipinti), *Perspectives on European Politics and Society*; and (3) 'Migration in the European Union's Area of Freedom, Security and Justice after the Lisbon Treaty and the Stockholm Programme' (with P.J. Cardwell and C. Kaunert), *International Migration*; (4) 'Supranational Governance and the Area of Freedom, Security and Justice' (with C. Kaunert and J. Occhipinti), *Cambridge Review of International Affairs*; and (5) 'Securitization and European Security' (with T. Balzacq), *Journal of International Relations and Development*.

**Ross** has edited two special issues of academic journals: (1) 'Federalism and Inter-Governmental Relations in Russia', *Communist Studies and Transition Politics*, Vol. 26, No 2, June 2010; and (2) 'Russian Regional Politics under Putin and Medvedev', *Europe-Asia Studies*, Vol. 63, No. 3, May 2011.

**Other Esteem**

**Elvins** was a member of the ESRC Peer Review College from 1 July 2010 until 30 June 2012. **MacQueen** was a member UK Arts and Humanities Research Council Peer Review College. **Liao** delivered two papers at conferences held at the Royal Institute of International Affairs (Chatham House), London (on 1-2 June 2009 and 3-4 May 2011) and two papers at conferences held by the Foreign Office at Wilton Park on 13-16 May and 19-21 October 2008 (see further details in the impact template).