

Institution: Liverpool Hope University

Unit of Assessment: Politics & International Studies (UoA 21)

a. Overview

The Politics & International Studies submission from Liverpool Hope University is centred on the Politics & International Relations team - Michael Holmes, Stefanie Kappler - located within the Department of History and Politics. However, it also includes politics/IS specialists within the Department of Media and Communication. These are Catalina Montoya and Florian Zollmann, and Michael Mulqueen. Both departments are based in the Faculty of Arts and Humanities led by Nicholas Rees. In addition, there is one colleague from Sociology: Raphael Schlembach. Politics is a relatively new subject in the university, having been launched in 2005; International Relations is an even more recent addition, having been launched in 2009. It is a vibrant and growing unit of assessment, including two Professorial staff (Mulqueen, Rees) and three early career researchers (Kappler, Schlembach and Zollman).

The research structure of the Politics & IS unit is focused on the frameworks provided by two research centres and one research group located within the Departments of History and Politics and Media and Communication, which form the basis of research coordination and collaboration. These are the Archbishop Desmond Tutu Centre for War & Peace Studies and the Centre for Applied Research in Security Innovation (CASI), as well as the Irish Studies Research Group.

b. Research strategy

Politics and IR researchers contribute strongly to Hope's strategic goal to establish an international reputation in research appropriate to a leading liberal arts institution. The key vehicle to achieve this strategic goal is through the Politics research steering group, which is headed by Holmes. In the period 2008-2013, the research strategy of the Politics & International Studies unit was built on the following elements:

- Recruiting new research active staff in Politics & International Relations, and providing additional support and mentoring for early-career researchers;
- Developing links with new research active staff attached to other departments carrying out Politics & International Studies based research;
- Strengthening and expanding our existing research centres and groups;
- Expanding our involvement with other networks, both academic and policy-focused;
- Developing our capacity to secure internal and external research funding from a variety of funding sources, both through our research centres and through other networks;
- Establishing taught postgraduate programmes as a first step towards developing a full postgraduate research culture, a major goal for the next REF in 2020.

We have delivered on each of these elements, as follows:

(a) Staff recruitment: in this period, the Departments linked to the submission - History and Politics, Media and Communication and Social Work - have appointed 5 new members of staff, Michael Mulqueen, Stefanie Kappler, Catalina Montoya and Florian Zollmann and Raphael Schlembach. Each of these colleagues has been supported by mentoring, research funding and training, including training to build PhD supervisory capacity.

(b) Research centres and groups: the Politics & IS team continue to be responsible for running the Centre for War & Peace Studies, have set up the new Centre for Applied Research in Security Innovation, and continue to contribute to the Irish Studies Research Group.

- **The Irish Studies Research Group**, which includes Holmes and Rees, has a strongly inter-disciplinary basis. The extensive research on modern Irish history and politics at Hope is housed within the Irish Studies Research Group (IRSG), based within the Department of History and Politics, which brings together the large number of researchers working on modern Ireland within the Faculty of Arts and Humanities. Since 2009, the IRSG has run a programme of regular seminars on different themes related to Irish Studies and its work forms the basis of one of our impact case studies.
- **The Archbishop Tutu Centre for War & Peace Studies** was established in 2004. It is an inter-disciplinary centre which promotes research into conflict and conflict resolution. The Centre runs an annual keynote Civic Lecture and an annual conference. It also runs occasional additional guest lectures and seminars. Recently, the Centre has also appointed its first visiting Research Fellows and Research Associates from South Africa, Germany and the UK. Its Director is Kappler.

- Finally, the **Centre for Applied Research in Security Innovation** was set up in 2012. The centre brings together practitioners and academics to foster research into new structures and agendas for security management. The centre has already been awarded funding, has staged a major symposium, appointed a doctoral fellow & centre coordinator, established a major collaboration with University of Groningen, The Netherlands, co-hosted a major conference, and has appointed a Visiting Professor and two senior research fellows. Its director is Mulqueen, who is responsible for our second impact case study.

These structures form part of a broader institutional strategy to develop research centres and groupings in order to (i) provide clear leadership in this research area, (ii) offer a networked context for PGR students, (iii) foster both active mentoring opportunities through collaboration and collective bidding activity, and (iv) to raise Hope's profile in Politics and IS via the establishment, co-ordination and running of strategic seminar/lecture series.

(c) Other research networks: Politics and IS staff have developed new links with several academic research groups and with policy-centred groups. These are recorded in detail in section E1 below.

(d) Research funding: UoA21 staff have applied successfully for funds from a number of sources, both from within the university and from external funding sources. Full details are provided in section D1 below.

(e) Postgraduate student development: an MA in Global Politics was launched successfully in 2009, and was subsequently restyled in 2011 as the MA in International Relations, while a new MA Peace Studies was validated and launched in 2013. There are currently 20 students on these programmes. We currently have 3 PhD students in Politics and IS.

Our strategic aims and objectives for the **next period up to 2020** will be to continue along similar lines of development, although we expect to advance and develop them where appropriate.

- Staff recruitment:* we will continue to aim to increase the number of members of staff active in research in politics & international studies. This will involve ensuring that existing and new appointments (e.g. Post-Doctoral Teaching Fellow in British Politics/Foreign Policy planned to start in September 2014) are research active.
- Research centres and groups:* we will continue to develop and strengthen our research centres and research groups.
- Other research networks:* we will aim to further our links with such groups, with a particular focus on ties with policy-focused groups in order to provide a framework for enhancing the 'impact' dimension of our research activity.
- Research funding:* We will increase the successful number of applications for research funding and the range of sources with a view to increasing our research income. This involves holding research funding workshops at Hope from 2014 onwards.
- Postgraduate research student development:* while seeking to maintain and develop our taught postgraduate provision, we also aim to grow the number of MPhil and PhD students in Politics and IS. In order to deliver on this aim, Politics & International Studies staff will, as part of their performance review, be required to attend CPD courses in postgraduate research training. Furthermore, the university research funding committee has agreed to provide a number of PhD fee waivers to build our research capacity and PGR supervisory experience.

In keeping with the overall university strategy, there will be a continued focus on centres as the primary structure through which research activity is coordinated. But this will occur in conjunction with links to other networks. Particular objectives are to broaden access to funding sources, to enhance impact dimension, and to advance our level of collaborative research. A politics & IS research strategy for the period 2014-2020 will be confirmed by December 2013 after the REF2014 has been submitted but key priorities are likely to be:

- Supporting all politics & IS related staff in publishing high quality research outputs
- In contributing to and participating in research forums, particularly through presenting research work at academic conferences
- In seeking research funding from external sources
- In developing the research environment for politics & IS in Liverpool Hope, particularly through continued development of our research centres and research culture

- Finally building on our existing taught postgraduate provision to develop a postgraduate research student cohort at MPhil/PhD level.

Progress towards these goals, and if necessary their refinement, will be reviewed regularly, primarily as part of the Annual Monitoring process in the all the departments concerned over the next 6 years prior to REF2020.

c. People

i. Staffing strategy and staff development

Institutionally, research is led by the University Research Committee chaired by the Pro Vice Chancellor Academic, which reports directly to Senate. All research at Hope is managed in accordance with the Research and Scholarship Plan; each Faculty articulates its own strategy within the parameters of this. Mulqueen is Vice-Chair of the Faculty Research Sub-Committee. All members of the UoA are expected to adhere to the University's Ethics Policy, managed through the Ethics Committee structure (on which Kappler represents the group). The ethos is collegial and collaborative; the aim is to offer a stimulating and supportive research environment and foster the growth and development of individuals at all stages of their career as scholars.

The number of academic staff has expanded since 2008 due to the appointments of a new Head of the Department of Media and Communication and a new Head of the Department of History and Politics at professorial level, as well as the appointment of as a post-doctoral teaching fellow in International Relations (Kappler), subsequently upgraded to lecturer level. Kappler was appointed specifically to strengthen the Centre for War and Peace Studies. In addition the following adjunct and visiting positions have been appointed to the two research centres associated with Politics and IR:

- a visiting professor in maritime security, Centre for Applied Research in Security Innovation, Prof Mark Mellett;
- two senior research fellows at the Centre for Applied Research in Security Innovation, Randolph H. Pherson and Douglas J. Naquin;
- two honorary research fellows at the Centre for War and Peace Studies, Dr Kate Flynn and Prof Joelen Pretorius

The Politics and International Studies REF submission has been further enhanced by the inclusion of academic staff attached to other departments in Liverpool Hope University but whose research falls predominantly into the Politics and International Studies UoA. This has involved the inclusion of three further new appointments in 2013: a new lecturer in media, Florian Zollmann, with a specialism in international relations; a new post-doctoral teaching fellow in media, Catalina Montoya, with a specialism in international relations, and a new post-doctoral teaching fellow in sociology, Raphael Schlembach, with a specialism in political sociology.

Research strength is a fundamental criterion in the appointment of new staff. Staff research activity is supported by a range of Liverpool Hope University provisions. Each academic member of staff is allocated a minimum of 140 hours paid research time and can apply for a further 154 hours; this is normally forthcoming for active researchers. There is an upper limit of 490 hours for professors.

Politics and IR academic staff may apply for support for conference attendance through the Faculty of Arts and Humanities Research Committee budget; they also have access to the University's REF Fund to support publication of research outputs and to the University's Development Fund, which is intended to support major research projects. For details of awards and grants received from the aforementioned sources in the period 2008-2013, please refer to section D below.

An additional aspect has been to maintain a research culture for staff and students through visiting speakers, organised both through our research centres and the departmental framework. These have included the following:

Archbishop Desmond Tutu Centre for War & Peace Studies:

Keynote addresses: Mr. Paul Gill (Department of Politics, University College Dublin) 2008; Dr. Garry Hinkle (Head of Security & Counterterrorism, RUSI) 2008; Rt. Hon. Clare Short (former Minister of State for Overseas Development) 2011; Dr Stuart Gordon (Royal Military Academy, Sandhurst) 2011; Dr Ian Speller (Department of History, NUI, Maynooth) 2011; Dr Stuart Parkinson (Executive Director, Scientists For Global Responsibility) 2011; Prof Georg Wiessela (University of Central Lancashire) 2011; Steven Kuo (University of the Western Cape) 2012; Prof. Johan Galtung (Transcend Institute), 2013; Emilio Silva (Association for the Recovery of Historical Memory) 2013 Redeeming the Past, Fr. Michael Lapsley (Institute for Healing of Memories) 2013

'Protest and Democracy' lecture series, 2009-2010: Prof Mick Moran (University of Manchester); Prof Paul Ward (University of Huddersfield); Dr Kevin Bean (Irish Studies Institute, University of Liverpool); Prof Frank Wood (Wake Forest University); Prof Michael Kennedy (Royal Irish Academy, Dublin); Dr Marianne Breen (School of Community Studies, National College of Ireland); Dr Matthew Stibbe (Sheffield Hallam University)

European Parliament elections public talks (2009): Stephen Twigg (Labour Party); Jackie Foster (Conservative Party); Chris Davies (Liberal Democrats).

ii. Research Students The postgraduate student development plan in 2008-2013 consisted of:

- Establishing a taught postgraduate provision, with the aim of generating a pool of MA-level graduates who would then proceed to doctoral research. To this end, an MA Global Politics was launched in 2009. In 2011 this programme became our flagship MA International Relations and an MA Peace Studies was launched in 2013.
- Attendance by politics and IR academic staff on university training courses on postgraduate research supervision
- Development of research networks through the research centres in order to promote postgraduate research opportunities in politics and IR at Hope.
- Experienced and new postgraduate research supervisors working together with the former mentoring the latter

In the period 2008-2013, politics and IR recruited, for the first, its first postgraduate research students and also enacted a development plan to establish a more coherent and structured postgraduate research provision. The University strategy seeks to assign doctoral students to specific research centres, and both the Archbishop Desmond Tutu Centre for War & Peace Studies and the Centre for Applied Research in Security Innovation are steadily developing their doctoral provision. The Archbishop Desmond Tutu Centre for War and Peace Studies has seen two PhDs undertaken and completed (2008-2012). Although these were in the field of political psychology and are being entered for REF 2013 under UoA 4, they form part of the strategy towards PGR capacity and environment in the Centre for War & Peace Studies. One PhD candidate has commenced with partial funding in 2012 in peace studies, and one with full funding support in 2013 in peace studies. The Centre for Applied Research in Security Innovation has recruited a PhD candidate with full funding support commencing in 2013, with a further approved for 2014.

Research students are monitored and supported through personal supervision, a dedicated Postgraduate Research Skills university-wide programme of seminars based on the *Vitae* programme of competencies, and a dedicated postgraduate research virtual learning space, Moodle. There is a second e-resource for research students through external subscription – Research Skills Online. All students present their work at least annually at a PGR/staff research seminar series. Research supervisors work in small teams; one will be a subject specialist with the requisite knowledge to support the research project and one of the team must have experience of doctoral supervision to completion. The supervisory team also includes a Chair, well-versed in university regulations and with multiple completions. A dedicated Postgraduate Study Suite in the Faculty of Arts and Humanities at Hope Par, with a second located in the Sheppard-Worlock Library. Facilities include four bookable study rooms and a drop in computer lab. With the support of their Dean (Rees) students who are at a writing intense stage of their studies can request dedicated facilities for up to a month.

d. Income, infrastructure and facilities

External research income

The UoA has raised nearly £150,000 including a Centre for Applied Research in Security Innovation award of £140,000 HEIF grant (Mulqueen) and an AHRC grant of £9,850 in 2013 to Kappler. Institutionally, the Research Facilitator (Colin Cooper) works in partnership with Hope researchers, as in the case of bids above, to secure, manage and increase funding from external organisations both nationally and internationally. This is achieved through: one-to-one support; staff development workshops on topics such as proposal writing, costing and pricing and the support of early career researchers and the provision and analysis of management information statistics.

Scholarly infrastructure

The Archbishop Desmond Tutu Centre for War and Peace Studies had the Liverpool CND archive collection donated to it in 2012, and this has now been placed as a special collection in the Sheppard Warlock library. The University allocated a special fund of £8,000 for development of the library's research collection on conflict resolution in 2012.

Organisational infrastructure

The Centre for Applied Research in Security Innovation (CASI). The centre brings together academics and practitioners in intelligence and security from a number of countries, including the USA, the UK and Ireland. This will enhance impact in the run up to REF2020.

Further infrastructural support is available through University library resources. This has enabled increased spending on books and electronic databases, as well as research spaces. A separate budget is available for the purchase of materials needed for individual research projects. These are supplemented by interlibrary loans and by access to the other national libraries through SCONUL Research Extra. The UoA has 2 designated full time Faculty Librarians who work closely with all academic colleagues.. The University has set up an institutional repository for staff research outputs for the REF2014 and beyond.

e. Collaboration and contribution to the discipline or research base

Collaborative arrangements, partnerships, networks, joint research projects

- In 2011, Michael Holmes was invited to become a member of the "Next Left" academic working group of the Foundation of European Progressive Societies (FEPS)
- In 2013, Michael Holmes was invited to become a member of the *Observatoire* on EU politics being established by the *Fondation Jean Jaurès*.
- Stefanie Kappler is involved in setting up a francophone research network in collaboration with the *Université du Québec à Montréal*.
- Catalina Montoya has been developing joint research with the faculty of Communication and Languages at *Pontificia Universidad Javeriana* (Colombia) on the Law of Victims and Land Restitution and Media Coverage, since February 2013
- Raphael Schlembach was a Visiting Fellow at the Centre for the Study of Social and Global Justice at the University of Nottingham, March 2013
- Michael Mulqueen jointly leads and co-founded the Network Observatory, a location for research and consultancy of research, between Centre for Applied Research in Security Innovation, Globalisation Studies Groningen (University of Groningen) and European Centre for Applied Research (ECFAR), Austria.

Leading positions in professional associations

- Florian Zollmann is a member of the executive committee of the Institute of Communication Ethics and the Orwell Society.
- Nicholas Rees is a member of the National Committee for the Study of International Affairs, Royal Irish Academy, Dublin (1996-)
- Nicholas Rees was former President and Secretary of the Political Studies Association of Ireland

Editorial positions

- Stefanie Kappler is book review editor of *Peacebuilding*, an editorial board member of the *Marmara Journal of European Studies*, is on the reviewer database of *Cooperation and Conflict*
- Catalina Montoya was director and editor of *Journal Signo y Pensamiento* (Journal of Communication, Information and Languages) until April 2013
- Raphael Schlembach founded and co-edited a non-academic print and online publication ('Shift Magazine', with 15 issues between 2008 and 2012) addressed at movement activists engaged in campaigns for social and environmental justice
- Florian Zollmann was on the editorial board of the *Occasional Working Paper Series* at the University of Lincoln, 2009-2010
- Nicholas Rees is on the editorial board of *Irish Studies in International Affairs*, 1996-

Examination of doctorates

- Michael Holmes was doctoral examiner for a thesis submitted jointly to the Université de Paris Sorbonne and University College Cork, Paris, 2012
- Michael Mulqueen was doctoral examiner for a thesis submitted to Trinity College Dublin,

Environment template (REF5)

January 2013.

- Nicholas Rees was doctoral examiner for a thesis submitted to University College Dublin, 2008

Conference organisation

- March 2008: Florian Zollmann was co-organiser of the 7th International Conference on Engaged Research in Organization and Management with the special theme “Speeding up research”, University of Lincoln.
- September 2008: Michael Holmes convened a workshop conference on “The Left and the European Constitution”, Liverpool Hope University
- October 2008: Florian Zollmann was co-organiser of the 8th International Conference on Engaged Research in Organization and Management with the special theme “Critical management”, University of Lincoln
- April 2009: Florian Zollmann was co-organiser of the 9th International Conference on Engaged Research in Organization and Management with the special theme “Corporate Social Responsibility in the UK and beyond: perspectives from law and business”, University of Lincoln
- June 2009: Nicholas Rees was co-convenor of the Centre for War & Peace Studies annual conference on the theme of “The cost of war”, Liverpool Hope University
- October 2009: Michael Holmes convened the Political Studies Association of Ireland annual conference, Liverpool Hope University. This was the first occasion that the PSAI’s flagship conference was held outside the island of Ireland.
- June 2011: Nick Rees convened the Centre for War & Peace Studies annual conference on the theme of “Post-conflict peace-building and reconstruction”, Liverpool Hope University
- April 2012: Michael Holmes convened the Centre for War & Peace Studies annual conference on the theme of “Sport, Conflict and Reconciliation”, Liverpool Hope University
- July 2012, the Centre for Applied Research in Security Innovation hosted an international symposium, ‘The Maritime Economy and Governance: Innovation, Energy, Security and Growth’ and in October 2012, co-hosted the Small Navies Conference, National University of Ireland Maynooth
- In October, CASI co-hosted with The Corbett Centre for Maritime Policy Studies at King’s College London and the Centre for Military History and Strategic Studies, National University of Ireland, Maynooth, a major international conference on Small Navies. The conference was officially opened by Ireland’s Deputy Prime Minister (Tánaiste) and Minister for Foreign Affairs, Mr Eamon Gilmore T.D. - See more at: <http://www.hope.ac.uk/casi/#sthash.NpS7le1F.dpuf>
- July 2013: Stefanie Kappler was convenor of the Centre for War & Peace Studies annual conference on the theme of “Memory, Conflict and Space”, Liverpool Hope University

Refereeing of academic publications or research proposals

- Michael Holmes has refereed submissions for the following organisations and publications: the ESRC (2008 and 2009); *Cooperation and Conflict* (2010); *French Politics* (2011); *Irish Political Studies* (2012); *British Journal of Politics and International Relations* (2012); *Journal of Contemporary European Research* (2013).
- Stefanie Kappler has been asked to act as a peer reviewer for *Security Dialogue* and *Peacebuilding*.
- Michael Mulqueen has refereed submissions to *Irish Political Studies* and *Irish Journal of Public Policy*
- Nicholas Rees is a peer reviewer *Irish Studies in International Affairs*, *Cooperation and Conflict*, *Governance*, *International Affairs*, *Journal of Common Market Studies*, *European Security*, *European Journal of International Relations*, *Journal of Contemporary European Research*, *Policy and Politics*, *Journal of European Integration*, *African Journal of Political Science and International Relations*.
- Nicholas Rees was an evaluator for the Cypriot Research Programme on European Studies, 2011
- Nicholas Rees has been a manuscript reviewer for Routledge Publishers and Manchester University Press
- Raphael Schlembach has written peer reviews for *Environmental Politics*; *Nature*, *Capitalism and Society*; and *Interface: a Journal for and about Social Movements*.
- Florian Zollmann is a peer reviewer for *Networking Knowledge* (the journal of the Media,

Communication and Cultural Studies Association) and for *Ethical Space: the International Journal of Communication Ethics*. Zollmann has also been asked to peer review a book proposal for the academic publisher Peter Lang.

HEI consultancies

- Michael Holmes was an occasional panel review member for the Higher Education and Training Awards Council (HETAC) in Ireland up to 2012.
- Nicholas Rees was co-researcher on “Learning How to be an Active Citizen in Dublin’s Docklands: The Significance of Informal Processes” (with Marianne Breen, Combat Poverty Agency, 2007-09)
- Nicholas Rees was Chair of the Cross-Institutional Research Review Panel (HETAC Delegated Authority), Limerick Institute of Technology, 2011
- Nicholas Rees was an External Member, Review Panel for Diploma/Certificate in Research Practice, Institutes of Technology Research Alliance, Ireland, April 2009
- Nicholas Rees was Independent Chair, Research Degrees (HETAC Delegated Authority), Limerick Institute of Technology, 2012

Scholarly awards or fellowships

- Catalina Montoya was commissioned by the Media and Democracy Alliance (which brings together the Foundation for New Iberian-American Journalism, the UNDP, the Avina Foundation and Javeriana University, and with funding support from the British Embassy in Colombia) in 2008 to research the links between civil society organisations and the media in Latin America and again in 2010 to research how civil society organisations use radio and internet to engage with democracy and human rights issues.

Invited keynote addresses or lectures

Michael Holmes was an invited keynote contributor at the following events: a specialist expert panel on “The Left after the 2009 European Parliament elections”, organised by the *Friedrich Ebert Stiftung* at the House of Commons, London, June 2009; conference on ‘Democracy and the Good Society’, organised by the *Friedrich Ebert Stiftung*, Istanbul, 7-8 June 2010; roundtable debate on “The impact of Europeanisation on Irish party politics”, Queen’s University, Belfast, 25 June 2010

Stefanie Kappler was invited for guest lectures in the following institutions: University of the Western Cape (August 2012); University of Tübingen (October 2012); University of Birmingham (March 2013); Université du Québec à Montréal (May 2013); University of York (July 2013); Swedish Institute of International Affairs (October 2013)

Catalina Montoya was invited to give guest lectures in the following institutions: Marcuse Society, University of Liverpool (June 2012); Paulo Freire Institute and Centre for Educational Research in Equalities, Policy and Pedagogy, London (June 2012); Cardiff School of Journalism, Media and Cultural Studies (February 2014)

Michael Mulqueen’s invited speaking engagements include: The Military College, Defence Forces Headquarters, Kildare, Ireland, ‘Media and Conflict: Big data, open source innovation and a seismic shift’ (June 2013); Forum Foundation for Analytic Excellence, Washington DC, ‘The role of open source innovation in networks of intelligence’ (May 2013). Annual Meeting of the European Coast Guard Functions Forum, Dublin, ‘Networked coastguard? Using innovation’ (August 2012). Conference on Maritime Geostrategic Thinking for Ireland, Cork: ‘Innovation for Ireland’s maritime defence security’ (March 2012). European Civil Aviation Conference, Paris: ‘Media and aviation security: using innovation’ (January 2012) and Irish Naval Headquarters, Ireland, Cork: Strategic briefing to Naval Senior Command Team (January 5 2012).

Nicholas Rees has been invited to give presentations to the Irish Referendum Process to the Joint Committee on the Constitution, Irish Parliament, November 2008 and on Learning How to be an Active Citizen in Dublin’s Docklands: The Significance of Informal Processes (Marianne Breen & Nicholas Rees), Combat Poverty Agency. Dublin 2008 (later published as a Working Paper No. June 2009).